

# INNOVATIVE EUROPE: EUROPEAN INNOVATION COUNCIL

Napoli 21 luglio 2023

\*La presentazione è basata su materiale della Commissione Europea

#### HORIZON EUROPE

#### **EURATOM**

Fusion

Fission

Joint

Research

Center

#### SPECIFIC PROGRAMME: EUROPEAN DEFENCE FUND

Exclusive focus on defence research & development

> Research actions

Development actions

#### SPECIFIC PROGRAMME IMPLEMENTING HORIZON EUROPE & EIT'

Exclusive focus on civil applications



European Research Council

Marie Skłodowska-Curie

Research Infrastructures



Pillar II
GLOBAL CHALLENGES &
EUROPEAN INDUSTRIAL
COMPETITIVENESS

- Health
- Culture, Creativity & Inclusive Society
- Civil Security for Society
- Digital, Industry & Space
- · Climate, Energy & Mobility
- Food, Bioeconomy, Natural Resources, Agriculture & Environment

Joint Research Centre



European Innovation Council

European Innovation Ecosystems

European Institute of Innovation & Technology\*

WIDENING PARTICIPATION AND STRENGTHENING THE EUROPEAN RESEARCH AREA

Widening participation & spreading excellence

Reforming & Enhancing the European R&I system





<sup>\*</sup> The European Institute of Innovation & Technology (EIT) is not part of the Specific Programme

#### EIC – FROM RESEARCH TO MARKET

EIC: Integrated, agile support across the full innovation spectrum from the early-stage research to start-up and scale-up





#### **Pathfinder** (TRL1-4)

- For consortia
- Early stage research on breakthrough technologies
- Grants up to €3/4 million

#### **Transition** (TRL 4-6)

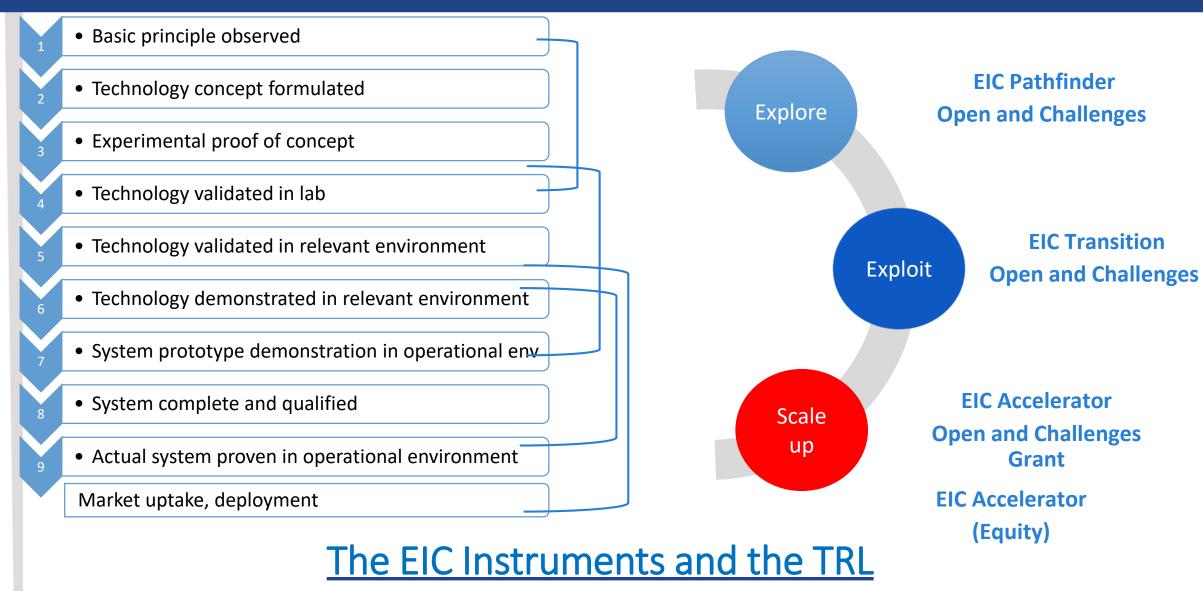
- For consortia and single entities
- Technology maturation from proof of concept to validation
- · Business & market readiness
- Grants up to €2.5 million

#### **Accelerator** (TRL 6-9)

- For individual SMEs
- Development & scale up of deep-tech/ disruptive innovations by startups/ SMEs
- Blended finance (grants up to €2.5 million; equity investment up to €15 million or above)

Relativamente ai tre strumenti di finanziamento dell'EIC, l'Italia presenta nel 2021/2022 dei buoni risultati sugli schemi Pathfinder e Transition mentre dobbiamo migliorare la performance sull' Accelerator.







#### <u>Da Pathfinder ad Accelerator – elementi comuni</u>

- Focus su innovazioni breakthrough e disruptive, anche sociali, che hanno il potenziale per creare nuovi mercati;
- abandi principalmente bottom-up, aperti alle innovazioni provenienti da tutti i campi della scienza, della tecnologia e rivolti ad applicazioni in qualsiasi settore;
- Sono incoraggiate le innovazioni che riguardano diversi settori scientifici, tecnologici (ad es. combinando prodotti fisici e digitali);
- Focus sugli innovatori, semplificando le procedure e i requisiti amministrativi, facendo uso di <u>interviste</u> per aiutare a valutare le applicazioni e garantendo un rapido processo decisionale;
- Sostegno ad innovazioni ad alto rischio laddove i rischi, sia tecnologici/di mercato/normativi, non possono essere sostenuti dal mercato da solo o tramite strumenti finanziari (InvestEU)
- ☐ Gestione proattiva con milestones per valutare i progressi e la possibilità di riorientare i progetti laddove necessario





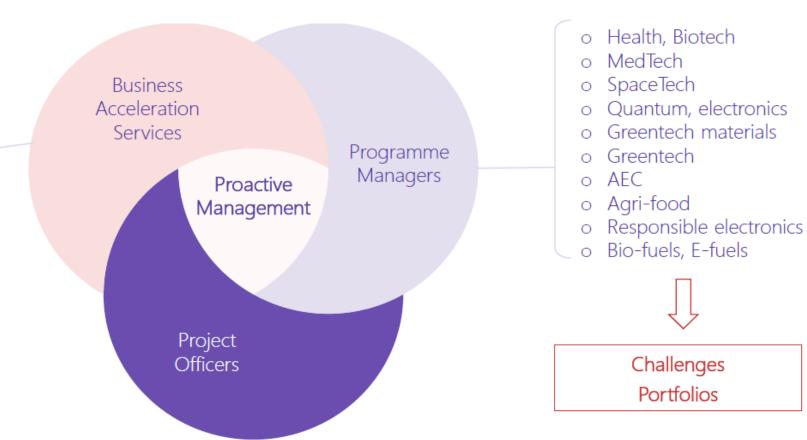
EIC stages the entrepreneurial journey as pathfinder, transition, accelerator with increasing readiness levels

WHAT? Pathfinder Transition Accelerator **Business** Research



# With proactive management the EIC aims to maximize its support to success of the entrepreneurial journey

- o Access to entrepreneurs
- o Access to mentoring
- o Access to ecosystems
- o Access to partners, peers
- o Access to trainings
- o Access to workshops
- o Access to expert advice
- o Access to recruitment
- o Access to industry







# Cut-off dates of the various calls

Cut-off dates:	Pathfinder	Transition	Accelerator
Open	7 March 2023	12 April 2023 27 September 2023	11 January 2023 22 March 2023 7 June 2023 4 October 2023
Challenge	18 October 2023	12 April 2023 27 September 2023	22 March 2023 7 June 2023 4 October 2023





## EIC WP 2023 deadlines and budgets













#### €163 million for five Pathfinder Challenges

- Clean and efficient cooling
- Architecture, Engineering and Construction digitalisation for a novel triad of design, fabrication, and materials
- Precision nutrition
- Responsible electronics
- In-space solar energy harvesting for innovative space applications

#### €60.5 million for three Transition Challenges

- Full scale Micro-Nano-Bio devices for medical and medical research applications
- Environmental intelligence
- Chip-scale optical frequency combs

#### €523.5 million for eight Accelerator Challenges

- Novel biomarker-based assays to guide personalised cancer treatment
- Aerosol and surface decontamination for pandemic management
- Energy storage
- New European Bauhaus and Architecture, Engineering and Construction digitalisation for decarbonisatio
- Emerging semiconductor and
- Quantum technology components
- Novel technologies for resilient agriculture
- Customer-driven, innovative space technologies and services



### **RADICAL INNOVATION BREAKTHROUGHS**

#### Radical Innovation Breakthroughs RIBRIs

- 1. 2D Materials
- 5. 4D Printing
- Aluminium-based energy
- 13. Artificial synapse/ brain
- 17. Basic Income
- 21. Bioluminescence
- 25. Blockchain
- 29. Car-free City
- 33. Collaborative innovation spaces
- 37. Desalination
- 41. Energy Harvesting
- 45. Flying car
- 49. Genomic vaccines
- 53. High-precision clock
- 57. Hydrogen fuel
- 61. Life Caching
- 65. Microbial fuel cells
- 69. Nano-LEDs
- 73. New Journalist Networks
- 77. Plastic Eating
- 81. Read/Write Culture
- 85. Self-healing materials
- 89. Speech Recognition
- 93. Targeting cell death pathways
- 97. Underwater living

- 2. 3D Printing of Food
- 6. Access Economy
- 10. Antibiotic Susceptibility Testing
- 14. Asteroid mining
- 18. Biodegradable sensors
- 22. Bionics in medicine
- 26. Body 2,0 and the Quantified Self
- 30. Carbon Nanotubes
- 34. Computational Creativity
- 38. Driverless
- 42. Epigenetic change technologies
- 46. Gamification
- 50. Geoengineering: changing landscapes
- 54. Holograms
- 58. Hyperloop
- 62. Local Food Circles
- 66. Microbiome
- 70. Nanowires
- 74. Optoelectronics
- 78. Precision farming
- 82. Regenerative medicine
- 86. Smart Tattoos
- 90. Spintronics
- 94. Technologies for disaster preparedness
- 98. Warfare drones

- 3. 3D Printing of Glass
- 7. Airborne wind turbine
- 11. Artifical Intelligence
- 15. Augmented reality
- 19. Bioelectronics
- 23. Bioplastic
- 27. Brain Function Mapping
- 31. Carbon capture and sequestration
- 35. Computing memory
- 39. Drug delivery
- 43. Exoskeleton
- 47. Gene Therapy
- 51. Graphene Transistors
- 55. Humanoids
- 59. Hyperspectral imaging
- 63. Marine and tidal power technologies
- 67. Molecular recognition
- 71. Neuromorphic chip
- 75. Owning and Sharing Health Data
- 79. Quantum Computers
- 83. Reinventing Education
- 87. Smart windows
- 91. Splitting carbon dioxide
- 95. Thermoelectric paint
- 99. Wastewater nutrient recovery

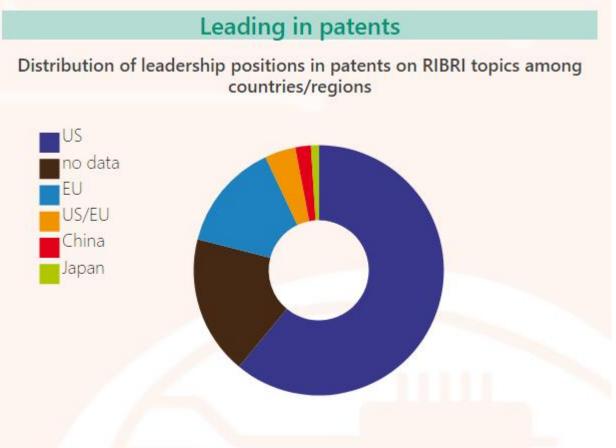
- 4. 3D Printing of Large Objects
- 8. Alternative Currency
- 12. Artificial Photosynthesis
- 16. Automated indoor farming
- 20. Bioinformatics
- 24. Bioprinting
- 28. Brain Machine Interface
- 32. Chatbots
- 36. Control of gene expression
- 40. Emotion recognition
- 44. Flexible electronics
- 48. Gene editing
- 52. Harvesting Methane Hydrate
- 56. Hydroaels
- 60. Lab-On-A-Chip
- 64. Metamaterials
- 68. Molten Salt Reactors
- 72. Neuroscience of Creativity and Imagination
- 76. Plant communication
  - 80. Quantum Cryptography
  - 84. Reprogrammed human cells
  - 88 Soft robot
  - 92. Swarm robotics
  - 96. Touchless gesture recognition
  - 100. Water Splitting



### RADICAL INNOVATION BREAKTHROUGHS

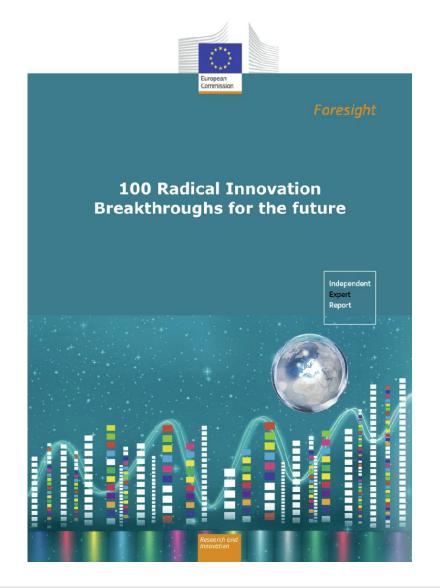
https://ribri.isi-project.eu/







pubblicazioni di approfondimento









# **EIC PATHFINDER-OPEN & CHALLENGES**

Funds research to develop the scientific basis to underpin breakthrough technologies



### **Funding schemes**

#### **¬■** EIC Pathfinder Open



bottom-up approach. Any field of science, technology or application without predefined thematic priorities

#### **¬■** EIC Pathfinder Challenges



- 1. Clean and efficient cooling
- 2. Architecture, Engineering and Construction digitalisation for a novel triad of design, fabrication, and materials
- 3. Precision nutrition
- 4. Responsible electronics
- 5. In-space solar energy harvesting for innovative space applications

top-down, challenge-driven, portfolios of projects approach. To support proposals within a predefined thematic area and addressing specific objectives.





### Pathfinder calls 2023 – Summary table



	Pathfinder Open	Pathfinder Challenges	
Total budget	€179.5 million	€163.5 million	
Proposals (indicative)	Up to €3 million	Up to €4 million	
Funding rate	100% of eligible costs	100% of eligible costs	
Opening	10 January 2023	20 June 2023	
Deadline	<b>7 March 2023</b> at 17.00 CET	18 October 2023 at 17.00 CET	
Length of proposal	17-page proposal (part B)	25-page proposal (part B)	
Applicants	Consortia min. 3 partners from 3 different Memer States /Associated Countries (of which at least 1 partner in a Member State)	<ul> <li>Consortia:</li> <li>If 2 partners: from different MS/AC,</li> <li>Min 3 partners from 3 different MS/AC (of which at least 1 partner in a MS)</li> </ul> Single legal entities in a MS/AC	



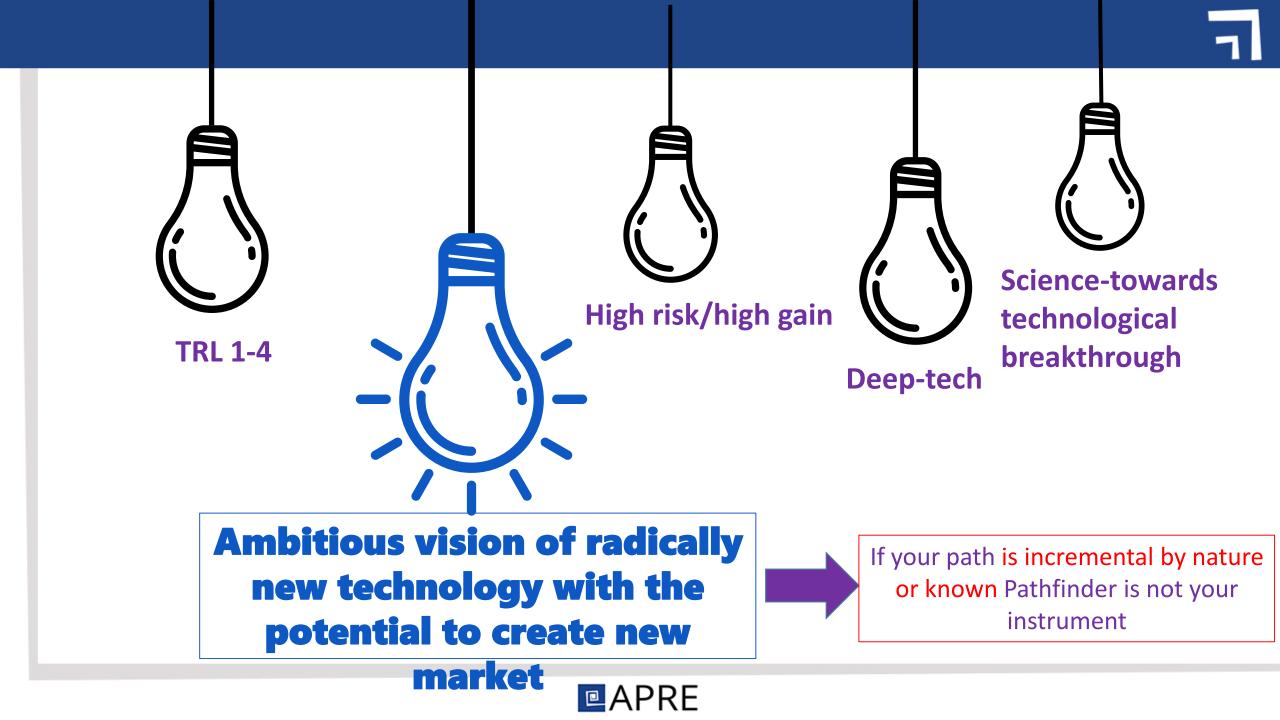


## **EIC Pathfinder Open: Gatekeepers**



- Collaborative, interdisciplinary research, meeting the following Gatekeepers:
- convincing, long-term vision of a radically new technology that has the potential to have a transformative positive effect to our economy and society;
- concrete, novel and ambitious science-towards-technology breakthrough, providing advancement towards the envisioned technology;
- high-risk & high-gain research approach & methodology, with concrete and plausible objectives.







## **Expected output of EIC Pathfinder Open projects**



- Expected output is the proof of principle that the main ideas of the envisioned future technology are feasible, thus validating its scientific and technological basis
- Project results should include top-level scientific publications in open access
- Projects are expected to take the necessary measures to allow future uptake
  to take place, for instance through an adequate formal protection of the
  generated Intellectual Property (IP)
- Projects are encouraged to involve and empower in their teams key actors
  that have the potential to become future leaders in their field such as
  excellent early-career researchers or promising high-tech SMEs, including
  start-ups
- Project are also encouraged to empower female researchers and to achieve gender balance among the work package leaders



**OPEN 2023** 

 Proposals must be submitted by the coordinator, on behalf of a consortium including as beneficiaries at least three legal entities, independent from each other and each established in a different country as follows:

- at least 1 legal entity established in a Member State; and
- at least **2other independent legal entities**, each established in different Member States or Associated Countries.
- The legal entities may be for example be universities, research organisations, SMEs, start-ups, industrial partners or natural persons.





- You will receive a grant for a Research and Innovation Action to cover the eligible costs, necessary for the implementation of your project.
- For this call, the EIC considers proposals with a requested EU contribution
  of up to EUR 3 million as appropriate. Nonetheless, this does not
  preclude you to request larger amounts, if properly justified.
- The funding rate of this grant will be 100% of the eligible costs.
- The total indicative budget for this call is EUR 179.5 million.

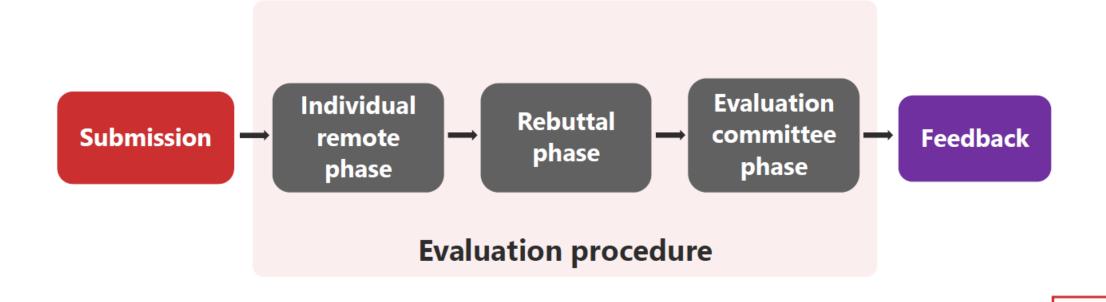
  OPEN 2023
- Successful applicants will receive tailor-made access to a wide range of Business Acceleration Services and interactions with EIC Programme Managers





# How does the EIC decide if your proposal will be funded?









#### Award criterion "Excellence"





- **Long-term vision:** How convincing is the vision , which the project would contribute in the long term?
- Science-towards-technology breakthrough: How concrete, novel and ambitious is the proposed science-towards-technology breakthrough with respect to the state-ofthe-art? What advancement does it provide towards realising the envisioned technology?
- Objectives: How concrete and plausible are the proposed objectives? To what extent is
  the high-risk/high-gain research approach appropriate for achieving them? How sound
  is the proposed methodology, including the underlying concepts, models,
  assumptions, alternative directions and options, appropriate consideration of the
  gender dimension in research content, and the quality of open science practices?
- Interdisciplinarity: How relevant is the interdisciplinary approach from traditionally distant disciplines for achieving the proposed breakthrough?



# 7







- Long-term impact: How significant are the potential transformative positive effects that the envisioned new technology would have to our economy, environment and society?
- Innovation potential: How adequate are the proposed measures for protection
  of results and any other exploitation measures to facilitate future translation of
  research results into innovations? How suitable are the proposed measures for
  involving and empowering key actors that have the potential to take the lead in
  translating research into innovations in the future?
- Communication and Dissemination: How suitable are the measures to maximise expected outcomes and impacts, including communication activities, for raising awareness about the project results' potential to establish new markets and/or address global challenges?







# Award criterion "Quality and efficiency of the implementation"



- **Work plan:** How coherent and effective are the work plan (work packages, tasks, deliverables, milestones, time-line, etc.) and risk mitigation measures in order to achieve the project objectives?
- Allocation of resources: How appropriate and effective is the allocation of resources (comprising person-months and other cost items) to work packages and consortium members?
- **Quality of the consortium:** To what extent do the consortium members have all the necessary high quality expertise for performing the project tasks?









- Approximately 1.5-2.5 months after the call deadline, you will have the opportunity to reply within 8 calendar days (at 17h00 Brussels local time) with a strict page limit (maximum two A4 pages) to the evaluators' comments;
- your replies cannot be used to alter or add to the content of the proposals, but must strictly focus on responding to potential misunderstandings or errors by the evaluators;
- the replies will be made available to the evaluation committee;
- the evaluation committee will take into consideration the comments from the rebuttal procedure, if any, in order to arrive at their final scores for the proposals that underwent this procedure.



#### **Evaluation committee phase**

Il panel di valutazione sarà composto da valutatori esperti differenti rispetto a quelli che hanno valutato le proposte a distanza;

il punteggio finale sarà deciso in base al punteggio remoto e il risultato del discussioni di consenso, tenendo conto delle osservazioni ricevute nella eventuale procedura di *rebuttal* 

la discussione si concentrerà su proposte con pareri divergenti dei valutatori che una possibilità realistica di ottenere finanziamenti

I valutatori esperti che hanno valutato le proposte a distanza possono essere invitati alle discussioni di consenso, in particolare per le proposte dove ci sono pareri divergenti

Infine il panel di valutazione confermerà la ranking list.





# Pathfinder Challenges for 2023



- 1. Clean and efficient cooling
- 2. Architecture, Engineering and Construction digitalisation for a novel triad of design, fabrication, and materials
- 3. Precision nutrition
- 4. Responsible electronics
- 5. In-space solar energy harvesting for innovative space applications



#### Pathfinder Challenges OBIETTIVI GENERALI

- Esplorare nuove direzioni all'avanguardia nella scienza e nella tecnologia
- Sconvolgere un mercato o creare nuove opportunità realizzando soluzioni tecnologiche innovative basate su ricerca e sviluppo ad alto rischio/alto guadagno
- > Stabilire un portafoglio di progetti per ogni sfida che esplorano diverse prospettive, approcci concorrenti o aspetti complementari
- Guidati in modo proattivo dagli EIC Programme Manager





## Expected project outcomes



- Expected outcomes defined in the respective Challenge
- Project results should include top-level scientific publications as well as an adequate formal protection of the generated Intellectual Property
- Projects are encouraged to involve and empower in their teams key actors
  that have the potential to become future leaders in their fields such as
  excellent early-career researchers or promising high-tech SMEs, including
  start-ups
- Project particularly encouraged to empower female researchers and to achieve gender balance among the work package leaders



- Obiettivi delle sfide
- Informazioni tecniche alla base degli obiettivi
- Considerazioni di portafoglio utilizzate per la selezione finale delle proposte da finanziare
- ➤ Le <u>Challenge</u> <u>Guides</u> <u>sono</u> pubblicate sul sito web dell'EIC
- √ https://eic.ec.europa.eu/eic-funding-opportunities/eic-pathfinder\_en

## Pathfinder Challenge Guides

La Guida alla challenge è un documento di orientamento che accompagna un bando Pathfinder Challenge per fornire ulteriori informazioni su come le <u>considerazioni sul portafoglio</u> saranno prese in considerazione nella valutazione delle proposte per quel tema.

Integra l'Ambito, gli Obiettivi specifici e/o le Condizioni specifiche stabiliti nel Programma di lavoro EIC con una descrizione delle considerazioni sul portafoglio e delle modalità di costruzione del portafoglio.

Dopo la selezione delle proposte da finanziare nell'ambito della Challenge, il responsabile del programma collaborerà con i consorzi dei progetti selezionati per sviluppare una tabella di marcia comune con un piano strategico per Challenge.

Questa tabella di marcia/piano strategico integrerà le attività e le tappe dei singoli progetti in un insieme condiviso di obiettivi e attività tra i progetti

La tabella di marcia funge da base comune per il portafoglio di progetti e può influenzare l'attuazione dei progetti compresi eventuali aggiustamenti, riorientamenti o supporto aggiuntivo ai progetti



## Who can apply?

- Single legal entities established in a Member State or an Associated Country (mid-caps and larger companies will not be permitted)
- Consortia of two entities must be two independent legal entities from two different Member States or Associated Countries
- Consortia of three or more entities must include as beneficiaries at least three legal entities, independent from each other and each established in a different country as follows:
  - · at least one legal entity established in a Member State; and
  - at least two other independent legal entities, each established in different Member States or Associated Countries.
  - The legal entities may for example be universities, research organisations, SMEs, start-ups, industrial partners or natural persons.





# What support will you receive if your proposal is funded?



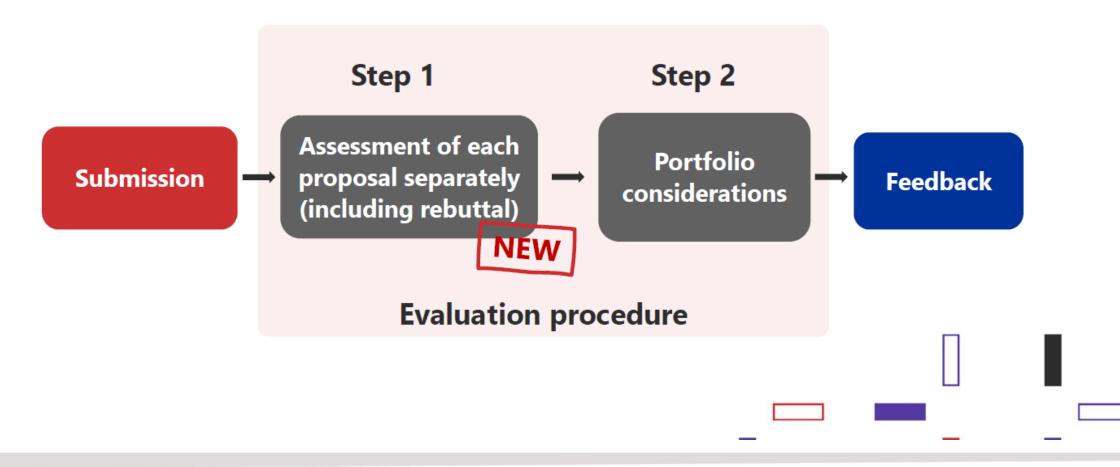
- A grant for a Research and Innovation Action to cover eligible costs
- EIC considers proposals with an EU contribution of up to € 4 million as appropriate (larger amounts possible, if duly justified)
- Funding rate 100% of the eligible costs.
- Total indicative budget for this call: € 163.5 million (expected to be allocated in approximately equal shares across the Challenges)
- Successful applicants will receive tailor-made access to a wide range of Business Acceleration Services and interactions with EIC Programme Managers and other actions in the portfolio of projects selected



# 7

# How does the EIC decide if your proposal will be funded?







## Rebuttal - "Right-to-react"





- Approximately 1.5-2.5 months after the call deadline, you will have the opportunity to reply within 8 calendar days (at 17h00 Brussels local time) with a strict page limit (maximum two A4 pages) to the evaluators' comments;
- your replies cannot be used to alter or add to the content of the proposals, but must strictly focus on responding to potential misunderstandings or errors by the evaluators;
- the replies will be made available to the evaluation committee;
- the evaluation committee will take into consideration the comments from the rebuttal procedure, if any, in order to arrive at their final scores for the proposals that underwent this procedure.





# Step 2: Portfolio considerations





- All proposals that meet the thresholds defined in the award criteria will be considered in step 2
- Mapping of proposals in categories stemming from overall goal and specific objectives of the Challenge (e.g., building blocks or subsystems, technical areas and/or competing technologies, platforms, applications areas, risk level and stage of technology readiness level, size)
- A suitable portfolio of proposals to be selected by evaluation committee by applying
  portfolio considerations in order to propose for funding a coherent set of projects to
  achieve expected outcomes and impacts of Challenge (in all cases the overall balance and
  composition of the portfolio will be taken into consideration)





## Award criterion "Excellence"





- Objectives and relevance to the Challenge: How clear are the project's objectives?
  How relevant are they in contributing to the overall goal and the specific objectives of the Challenge?
- **Novelty:** To what extent is the proposed work ambitious and goes beyond the state-of-the-art?
- Plausibility of the methodology: How sound is the proposed methodology, including the underlying concepts, models, assumptions, appropriate consideration of the gender dimension in research content, and the quality of open science practices?





# Award criterion "Impact"





- **Potential Impact:** How credible are the pathways to achieve the expected outcomes and impacts of the Challenge? To what extent would the successful completion of the project contribute to this?
- Innovation potential: How adequate are the proposed measures for protection of results and any other exploitation measures to facilitate future translation of research results into innovations with positive societal, economic or environmental impact? How suitable are the proposed measures for involving and empowering key actors that have the potential to take the lead in translating research into innovations in the future?
- Communication and Dissemination: How suitable are the proposed measures, including communication activities, to maximise expected outcomes and impacts for raising awareness about the project results' potential to establish new markets and/or address global challenges?









Threshold **3/5** 

Weight **20**%

- Work plan: How coherent and effective are the work plan (work packages, tasks, deliverables, milestones, timeline, etc.) and risk mitigation measures in order to achieve the project objectives?
- Allocation of resources: How appropriate and effective is the allocation of resources (comprising person-months and other cost items) to work packages and consortium members?
- Quality of the applicant/consortium (depends if mono or multi-beneficiaries): To what extent do(es) the applicant/consortium members have all the necessary high quality expertise for performing the project tasks?



#### Structure of the Proposal

The proposal contains two parts:

- Part A of the proposal is generated by the IT system. It is based on the information entered by the participants
  through the submission system in the Funding & Tenders Portal. The participants can update the information in the
  submission system at any time before final submission.
- Part B of the proposal is the narrative part that includes three sections that each correspond to an evaluation criterion. Part
  B needs to be uploaded as a PDF document following the templates downloaded by the applicants in the submission system
  for the specific call or topic. The templates for a specific call may slightly differ from the example provided in this document.

The electronic submission system is an online wizard that guides you step-by-step through the preparation of your proposal. The submission process consists of 6 steps:

- Step 1: Logging in the Portal
- Step 2: Select the call, topic and type of action in the Portal
- Step 3: Create a draft proposal: Title, acronym, summary, main organisation and contact details
- Step 4: Manage your parties and contact details: add your partner organisations and contact details.
- Step 5: Edit and complete web forms for proposal part A and upload proposal part B
- Step 6: Submit the proposal

**Proposal number:** 

**Proposal acronym:** 

**Type of Model Grant Agreement:** 

Table of contents

Section	Title	Action
1	General information	
2	Participants	
3	Budget	
4	Ethics and security	
5	Other questions	



#### 1. Excellence

Excellence – aspects to be taken into account.

- Objectives and relevance to the Challenge: How clear are the project's objectives? How relevant are they in contributing to the overall goal and the specific objectives of the Challenge?

#### 2. Impact – aspects to be taken into account.

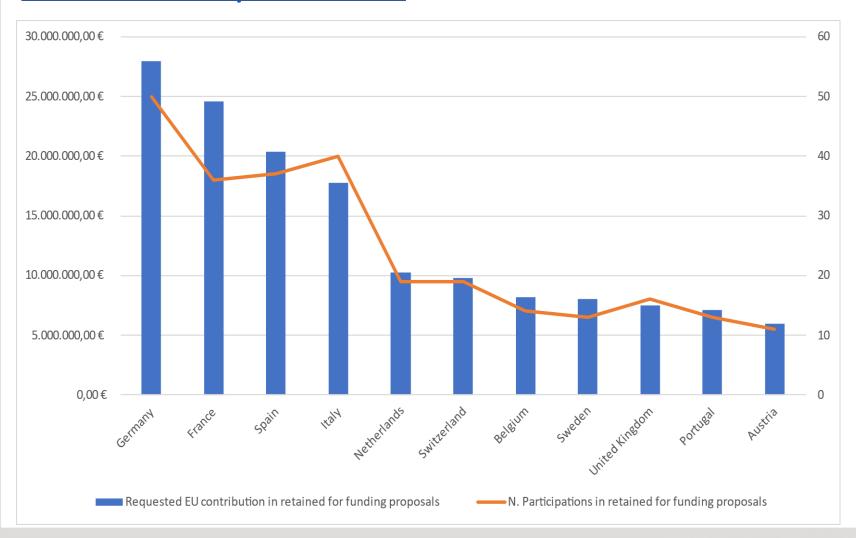
- Potential Impact: How credible are the pathways to achieve the expected outcomes and impacts of the Challenge? To what extent would the successful completion of the project contribute to this?

#### 2.1. Potential impact

- Provide a **narrative** explaining how the project's results are expected to make a difference in terms of impact, beyond the immediate scope and duration of the project. The narrative should include the components below, tailored to your project.
- (a) Describe the unique contribution your project results would make towards (1) the **outcomes** specified for this Challenge, and (2) the **wider impacts**, in the longer term, **specified in the Challenge Guide**.



# Pathfinder Open -2021

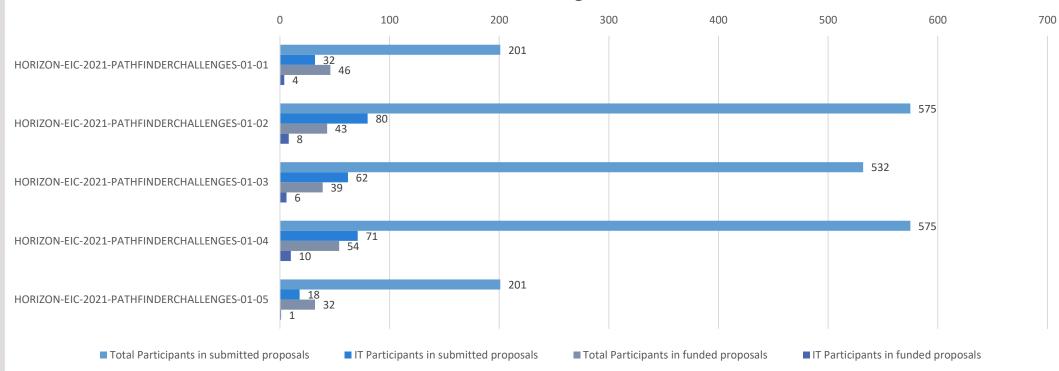


Country	Requested EU contribution in retained for funding proposals	N. Participations in retained for funding proposals
Germany	27.929.758,00 €	50
France	24.622.071,00 €	36
Spain	20.340.358,00 €	37
Italy	17.789.213,00 €	40
Netherlands	10.243.785,00 €	19
Switzerland	9.783.286,00 €	19
Belgium	8.191.494,00 €	14
Sweden	8.063.493,00 €	13
United Kingdom	7.505.316,00 €	16
Portugal	7.094.122,00 €	13
Austria	5.930.797,00 €	11
Norway	4.233.256,00 €	4
Ireland	3.438.668,00 €	6
Denmark	3.394.351,00 €	6
Finland	2.955.213,00 €	5
Hungary	2.786.425,00 €	5
Greece	2.092.936,00 €	5
Poland	1.963.310,00 €	5
Israel	1.932.290,00 €	5
Ukraine	819.000,00 €	2
Czech Republic	809.010,00 €	3
Luxembourg	657.275,00 €	2
Serbia	543.750,00 €	1
Turkey	380.000,00 €	1
Slovenia	353.230,00 €	1
Cyprus	308.383,00 €	1
Slovakia	264.000,00 €	1



## Pathfinder Challenges 2021





- 01-01 Awareness Inside
- 01-02 Tools to measure & stimulate activity in brain tissue
- 01-03 Emerging Technologies in Cell & Gene Therapy
- 01-04 Engineered Living Materials
- 01-05 Novel routes to green hydrogen production



## **European Innovation Council Pathfinder Open**

Successful proposals | Cut-off 4 May 2022



858

PROPOSALS EVALUATED



57

PROJECTS CHOSEN



183 million

TOTAL EU CONTRIBUTION



3.2 million

AVERAGE EU GRANT



29

COUNTRIES



352

**PARTICIPANTS** 

45% HIGHER EDUCATION, 26% RESEARCH ORGANISATIONS, 22% PRIVATE ORGANISATIONS, 8% OTHER

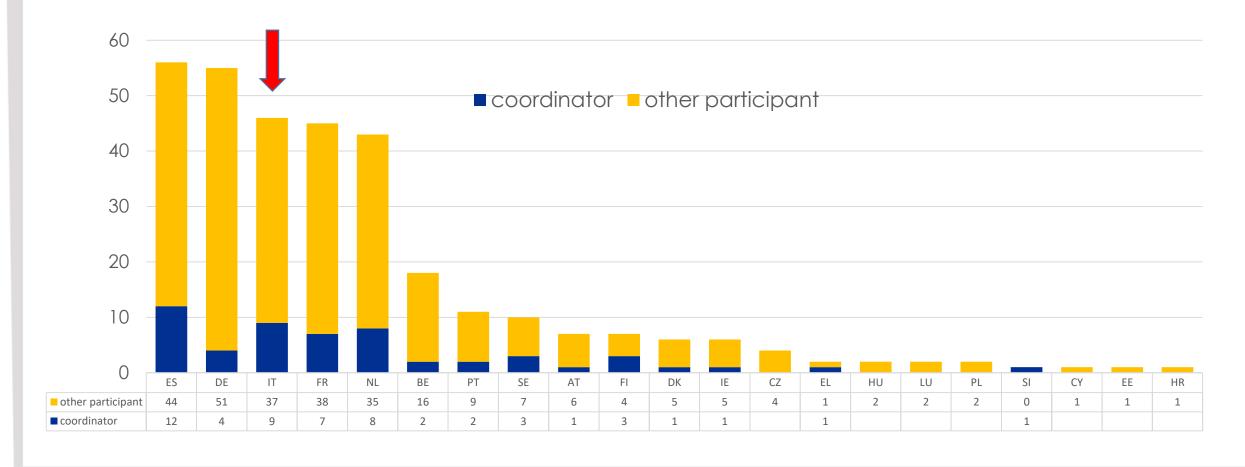
> European Innovation Council







## Pathfinder Open - Call Maggio 2022







## Latest statistics - 19 October 2022

436

€167 million

proposals evaluated

projects chosen

total EU contribution

€3.8 million

29

259

average EU grant

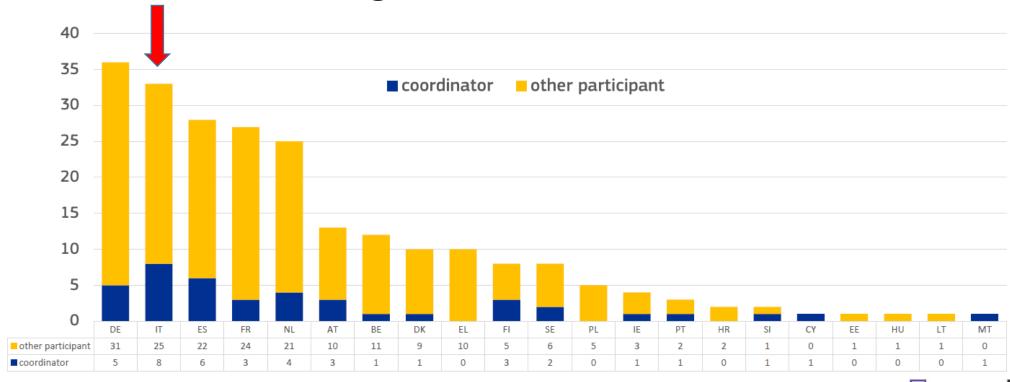
countries

participants



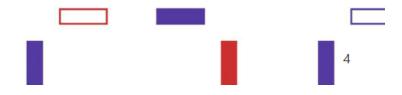


## Pathfinder Challenges - Call Ottobre 2022



EU-27 countries: 89,2% of the participants (231)

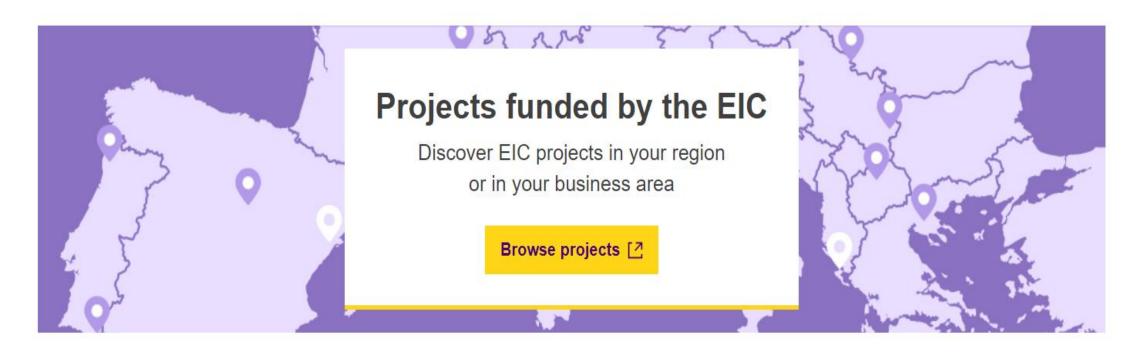
\*Including associated and affiliated partners





## **EUROPEAN INNOVATION COUNCIL WEBSITE**

https://eic.ec.europa.eu/index\_en









## What is the EIC Transition?

**EIC Transition** funds innovation activities that go beyond the experimental proof of principle in laboratory to support:

- the maturation and validation of novel technology in the lab and in relevant application environments
- the development of a business case and (business) model towards the innovation's future commercialisation.

The **expected outcomes** of an EIC Transition project are:

- a technology that is demonstrated to be effective for its intended application (TRL 5/6)
- a business model, its initial validation and a business plan for its development to market.





## EIC Transition open e challenge 2023

Why EIC Transition?

Suppport of ovel technologies (TRL 5-6)

Commercialisation support

The Open funding support

Challenges: predefined thematic priorities (project portoflios)

Who can apply?

Pathfinder & H2020 FET

**FET ERA NETs** 

EDF (PADR)

Challenges: Low TRL H2020

**ERC PoC** 

**Financial contribution** 

€0.5 - 2.5 mil

Booster grants up to €50k

for extra activities

\*Started 12 months before or ended less than 24 months after the call deadline





## **EIC Transition 2023**

## **Budget €128.36 million**

- Open: €67.86 million
- Challenges: €60.5 million
  - Full scale Micro-Nano-Bio devices for medical and medical research applications;
  - Environmental intelligences;
  - Chip-scale optical frequency combs

**Grants** up to **€2.5 million** (or more if well justified)

## **Applications**

- Apply anytime
- 2 cut-offs
  - 12 April 2023
  - 27 September 2023



## Who can apply?

#### **MONOBENEFICIARIES**

- SMEs no larger companies
- Research Organisations (universities, research or technology organisation, teams, individual PI and inventors

#### **MULTIBENEFICIARIES**

 Small consortia 2 to max. 5 legal entities: universities, research organisations, SMEs or larger companies, user/customer organisations (public authorities, industry)



## Monobeneficiari o piccoli consorzi

**2** beneficiaries

2 different countries

**3** beneficiaries

**3** different countries (min 1 Member State)

4 or 5 beneficiaries

min 3 different countries (min 1 Member State)

#### **Beneficiaries**

independent legal entities

#### **Countries**

Member States or Associated countries

#### Consortia

may include universities (TTOs), RTOs, SMEs, corporates, customer organisations, end users

(e.g. hospitals, utilities, industry, regulatory bodies, regulatory bodies, public authorities)





# Example: Consortia with Associated Partner UK/CH

Uni - University AE – Affiliated Entity



Σ	Coordinator	Participant 2	Participant 3	Participant 4	Participant 5	Associated Partners
1	University (DE)					Uni (CH) Uni (UK)
1	SME (FR) + AE Uni (FR)					
2	SME (HU)	SME (DE)				Uni (UK)
2	SME (IE)	Research Org. (FR)				Uni (UK)
4	Uni (NL)	SME (NL)	Research Org. (IT)	Uni (DE)		Uni UK)
4	SME (IT) + AE SME (IT)	Uni (IT) + AE- Research Org. (IT)	Uni (DE)	SME (ES)		Uni (UK)
4	Research Org. (DE)	SME (DE)	Univ (DE)	Univ (AT)	SME (ES)	SME (UK)



## E' possibile partecipare senza aver fatto parte del consorzio iniziale?



- Absolutely YES! WP2023 clearly mention this:
- If you were not part of the eligible project whose results are further developed in the EIC Transition proposal, you need to include in your proposal a commitment letter from the relevant owner(s) of the result(s),
- which confirms the commitment of the owner of the eligible project result to negotiate with you fair, reasonable and nondiscriminatory access to such results, including IPR, for the purpose of future commercial exploitation.

EIC Transition 2022 - 2023

3

STEP 1: REMOTE
EVALUATION BY
MINIMUM OF 3 EXPERT
EVALUATORS.
YOU SHOULD BE
INFORMED OF RESULT
WITHIN 9 WEEKS OF
CALL DEADLINE

4

STEP 2: INTERVIEW
WITH EVALUATORS
AND RELEVANT
PROGRAMME
MANAGERS
[APPROX. 6 PEOPLE]

[ If successful in step 1]

[ Within 13 weeks of call deadline ]

Apply by
12 April &
27 September

2

APPLY VIA THE EU FUNDING AND TENDERS PORTAL EC PROJECT OFFICER AND
PROGRAMME MANAGER
SUPPORT PROJECT IN
PREPARATION OF GRANT
AGREEMENT

[ If successful in step 2]

APPLICANT JOURNEY

0

CHECK ELIGIBILITY
(DO YOU HAVE AN
EXISTING PROJECT
WITH RESULTS?),
PREPARE YOUR TEAM
AND APPLICATION

EU FUNDING AND TENDERS PORTAL

dim

GRANT AGREEMENT SIGNED, PROJECT KICKS OFF IN COORDINATION WITH PROJECT OFFICER AND PROGRAMME MANAGER

6

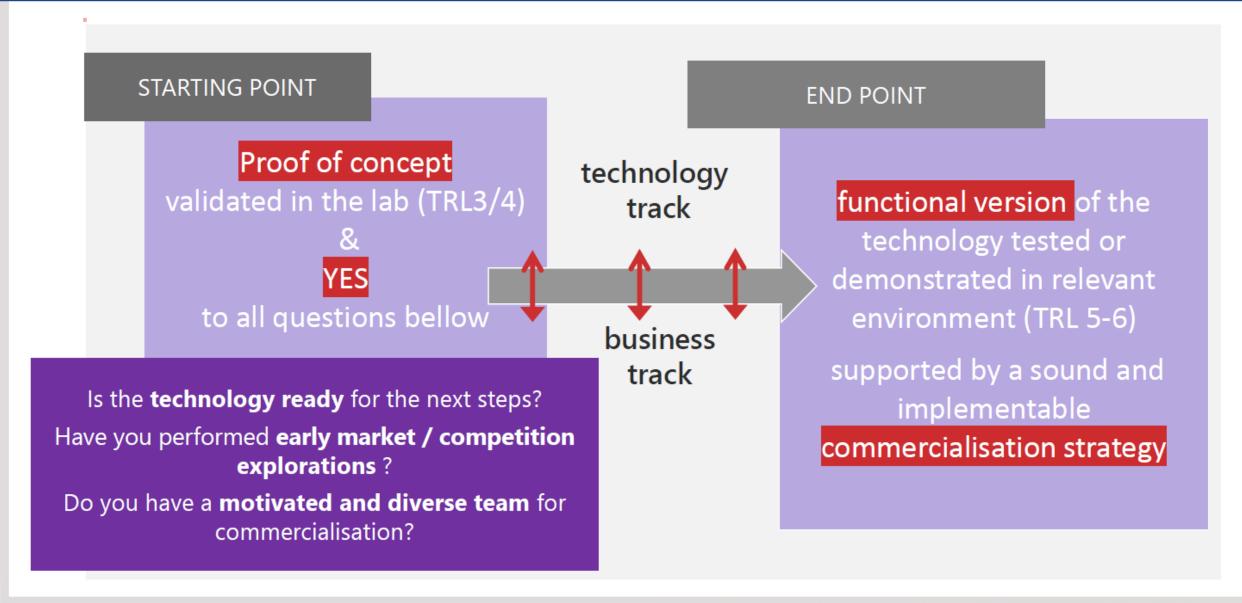
[ The main section is 20 A4 pages max) ]

[ Within seven months of call deadline ]



## Elementi chiave di una buona proposta







## Transition supporta diversi percorsi



A 'Transition to Technology' for collaborative project to further develop strategic and high impact technology up to TRL 5/6. This may require a multi beneficiary approach (e.g. SMEs, RTOs and potential users / customers).

A 'Transition to Market' for project led by an SME/Start-up that identifies an opportunity in the research results towards a specific market application. It may require, or lead to, a license agreement with the SME.

A 'Transition to Entrepreneurship' for project driven by entrepreneurial researchers to turn research results into a viable product by looking for a suitable business model and creating spin off company.



## **Strategic EIC Transition Challenges**



I finanziamenti EIC Transition sono disponibili anche per temi specifici.

## Le EIC Transition Challenges 2023 sono:

- •<u>Full scale Micro-Nano-Bio devices for medical and medical research</u> <u>applications</u> (HORIZON-EIC-2023-TRANSITIONCHALLENGES-01)
- •<u>Environmental intelligence</u> (HORIZON-EIC-2023-TRANSITIONCHALLENGES-02)
- •<u>Chip-scale optical frequency combs</u> (HORIZON-EIC-2023-TRANSITIONCHALLENGES-03)



## Template of a commitment letter

In my/our capacity of representative(s) of (Name of the Institution/s owning the results)] as [coordinator / partner] of linked project #number Acronym],



I/we confirm [representing [identify the right owners]] or [being] the sole [owner/s] or [rights holder/s]
of the result[s] [name or short description of the result[s] and relevant IP] produced in the linked project
[project #number Acronym] and needed for the execution on the [proposal, Acronym],

And

 I/we confirm the commitment to negotiate with the applicant/s [name/s] of the proposal (Acronym of the proposal) the fair, reasonable and non-discriminatory access to research results, including IPR, for the purpose of future commercial exploitation

Or

I/we confirm the existence of necessary agreements between (Name/s of the Institution/s owning the
results) and the applicant/s [name/s of the applicant/s exploiting the results] in the proposal Acronym of
the proposal] to commercialise the results [name or short description of the result[s] and relevant IP
resulting from project [project #number Acronym].







- Individual SME applicant invited to the interview and not selected for funding will be awarded 'Seal of Excellence'.
- Applicants awarded the 'Seal of Excellence' will have access to EIC Business Accelerator
   Services and support to access other sources of funding.
- Only awarded to those applicants who give consent to sharing the data about their application with other eligible funding bodies





## **Lessons learned / proposal content**



## Need for focus on impact and higher business potential

Some projects did not identify a promising market potential

## Business Model and Market Analysis

- Preliminary Business and Market analysis part of proposal
- Business Model validation and refinement of Market analysis alongside technology development

## Technology Readiness Level

- Level 3 is the starting point in the proposal, cannot be less
- Level 4 is preferred especially when high technological risks
- Level 5 is too high. They can apply directly to Accelerator



## **Feedback from the Jury Members**



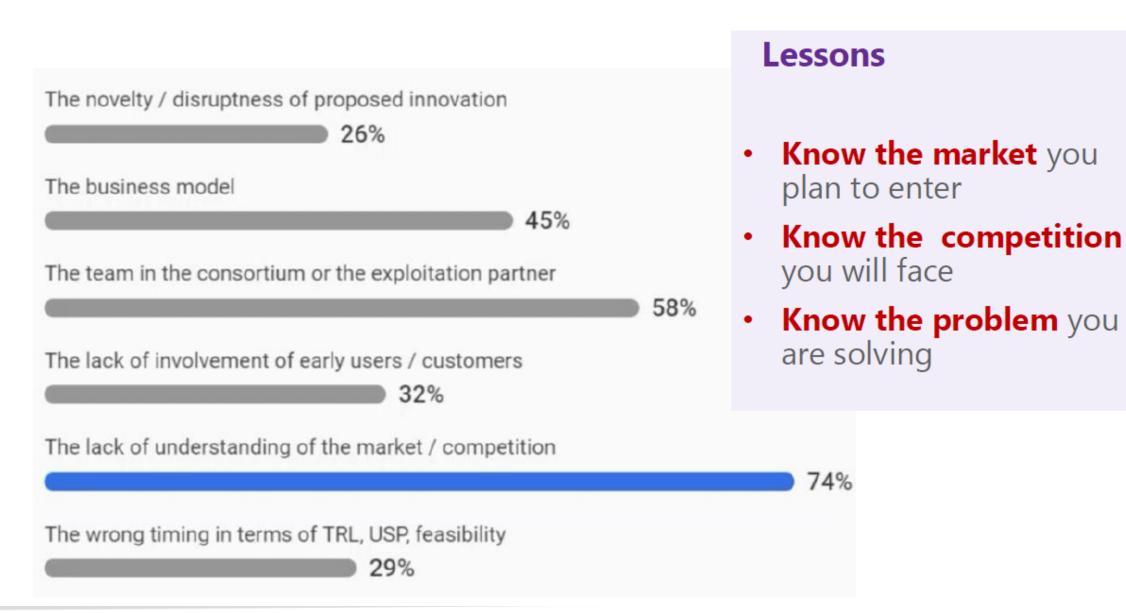
Applicants must provide clarity on aspects related to

- Technical milestones,
- IPR ownership,
- budget and allocation of resources,
- technical and business risks,
- current and expected TRLs at the end of the project,
- interdependence of work packages and tasks,
- the future exploiting team, and
- the credibility of the business objectives.



#### Major weaknesses of the No GO proposals

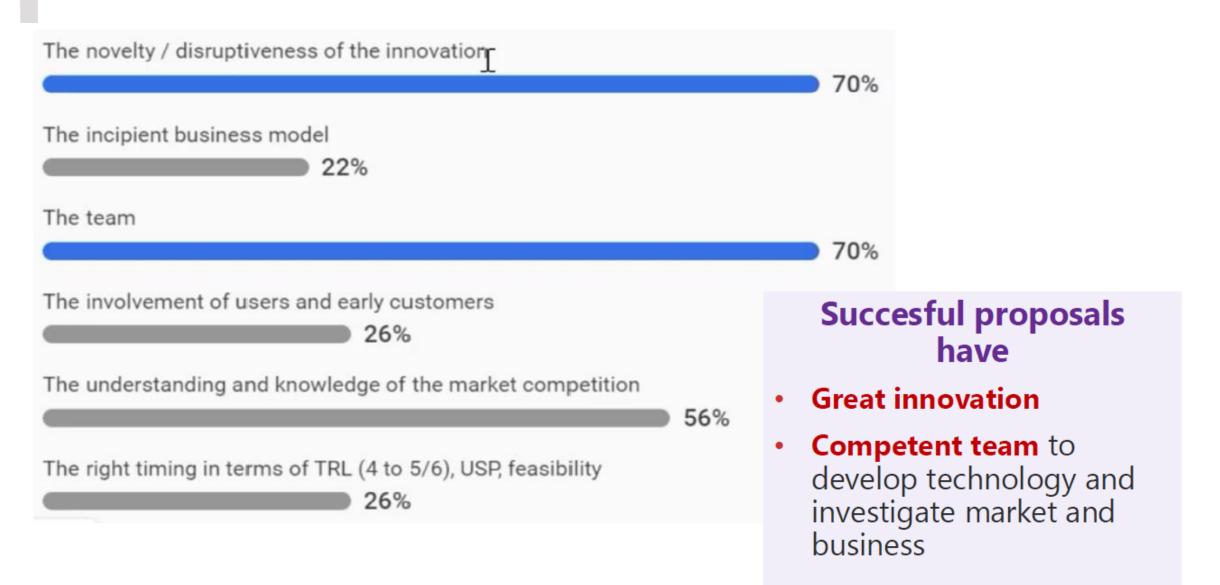






## Major strengths of the GO proposals







Projects

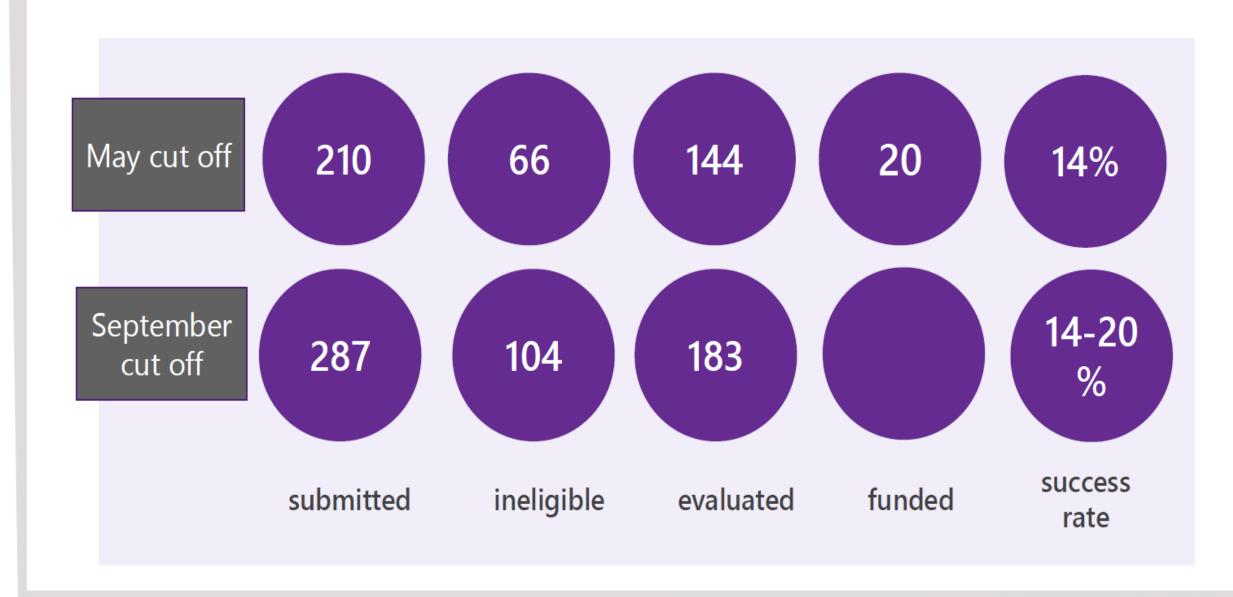
or their beneficiaries funded through

EIC Pathfinder & EIC Transition are eligible to apply for



















# A chi si rivolge EIC Accelerator?

Start up e PMI che cercano di sviluppare innovazioni ad alto impatto con il potenziale di creare nuovi mercati o di sconvolgerne di esistenti

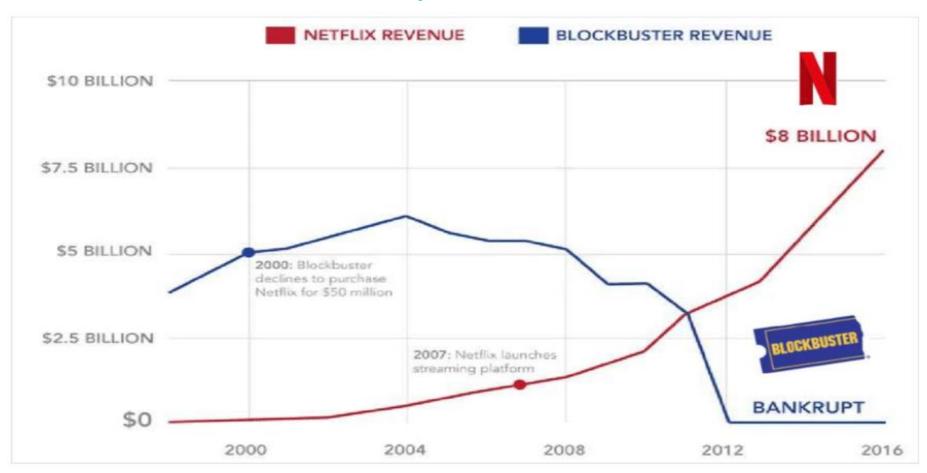
Innovazioni basate su scoperte scientifiche, innovazioni radicali (deep tech)

Innovazioni per cui sono necessari finanziamenti significativi per un lungo periodo di tempo e sono troppo rischiose per i soli investitori privati





### **Disruptive Innovation**



Netflix vs Blockhuster (Cloud Technology Partner 2017)



# Chi può applicare all'EIC Accelerator

# STRUMENTO MONOBENEFICIARIO

#### **SINGLE SME**

(max 250 employees, not subsidiary/majority owned by large company)

#### **SMALL MID-CAPS**

(up to 500 employees) – for rapid scale up and investment component only

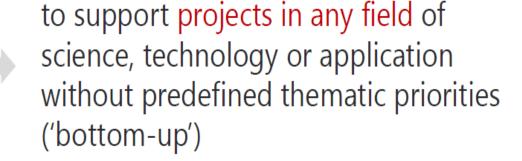
# Natural person(s) willing to set up SME

(natural person can apply on behalf of the SME which intends to establish in the EU)



#### Schemi di finanziamento

**EIC Accelerator Open** 



**EIC Accelerator Challenges** 



to support projects within predefined thematic areas with the aim to achieve specific objectives for each Challenge



#### **Grant only**

If you can prove that you have sufficient financial means for deployment and scale-up (TRL 9)

#### **Grant first**

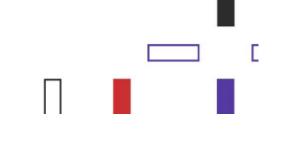
If your innovation still requires significant work to validate and demonstrate in relevant environments to assess its commercial potential

#### **Grant & investment**

If you need support for development (TRL 5/6 → 8), deployment and scale-up (TRL 9)

#### **Investment only**

If you are looking to fill the funding gap for rapid scale-up of your high-risk innovation and you don't need a grant





# **Grant component**



# Investment component

- maximum of less than
   € 2.5 million
- eligible costs are reimbursed up to a maximum of 70%
- small mid-caps are not eligible for a grant only

- € 0.5 million and
   maximum € 15 million
- usually in the form of direct equity or quasi-equity
- minority ownership (maximum 25% of the voting shares of the company)
- "patient capital" principle (7-10 years perspective on average, max 15 years)



# Accelerator Challenges

# **EIC Accelerator Open & Challenges 2023**

Novel biomarker-based assays to guide personalised cancer treatment

€ 65 million

Aerosol and surface decontamination for pandemic management

€ 65 million

Energy storage

€ 100 million

New European Bauhaus and Architecture, Engineering and Construction digitalisation for decarbonisation € 65 million

1) Emerging semiconductor & 2) Quantum technology components

€ 100 million

Novel technologies for resilient agriculture

€ 65 million

Customer-driven, innovative space technologies and services

€ 65 milion

Indicative call budget € 523.5 million

EIC Accelerator Challenges individual workshops will be organised by PM early next year

EIC Accelerator Open Indicative budget € 611.7 million







# The selection process in a nutshell

- The Accelerator evaluation process is composed of the following phases:
- Screening of short proposals: performed by remote evaluators, which leads to a GO or NO-GO to the next evaluation stage,
- Evaluation of full proposals, performed by remote evaluators, which leads to a GO or NO-GO to the next evaluation stage,
- Interviews with participation of Jury Members which leads to establishing the list of GO (funded) and NO-GO (nonfunded) proposals







# Welcome to the EIC AI Platform

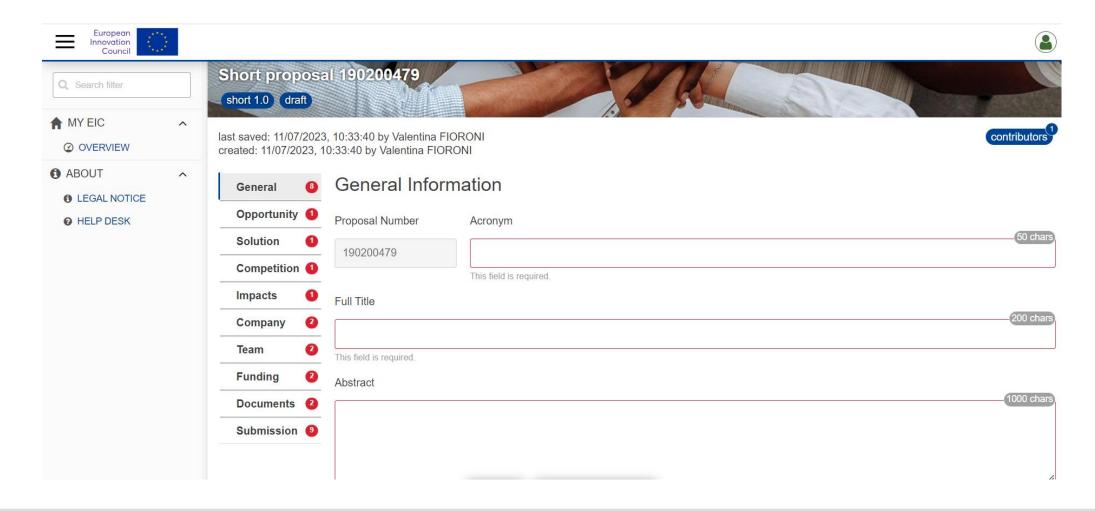
In order to access this platform please authenticate yourself with **EU LOGIN**.

→ Login with EU LOGIN

https://eic.ec.europa.eu/eic-funding-opportunities/eic-accelerator-0\_en



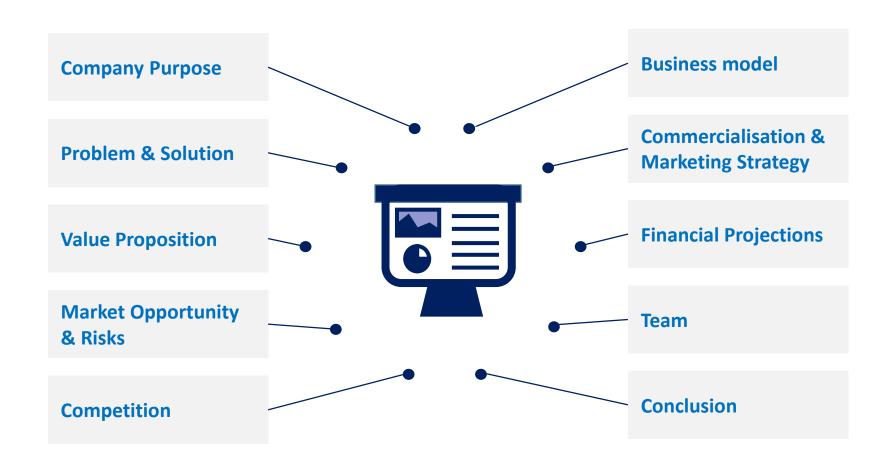
# **SHORT APPLICATION**







# Esempio: Pitch di 10 slide





- ☐ Durata massima: 3 minuti
- ☐ Membri principali del team (fino a tre persone)
- Illustrazione dell'innovazione e motivazione principale alla base della candidatura



ন Obiettivo: mostrare il team che sta dietro l'idea/proposta



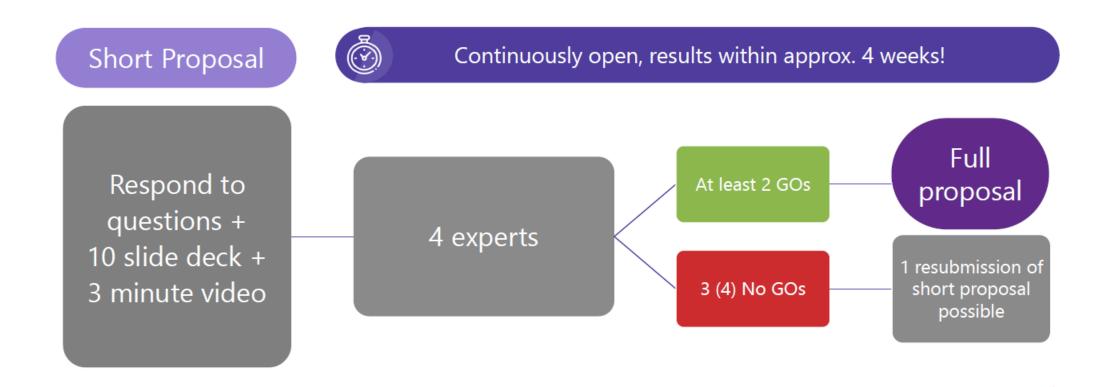
ন Il video può includere sottotitoli







# **EIC Accelerator Valutazione Short Proposal**





#### Excellence

Does the innovation have a high **degree of novelty** compared to existing products, services and business models with the potential to **create** or significantly **transform markets**?

Is the **timing** right for this innovation in terms of market, user, societal or scientific of technological trends and developments?

#### **Impact**

Does the innovation have potential to scale-up? Does the company show a clear and convincing vision, taking into account its current level of development and maturity, in relation to the targeted market, the business model and growth forecasts?

# Level of risk, implementation and need for Union support

capability and motivation to implement the innovation proposal and bring it to the market?

Is there a plan to acquire any critical competencies which are currently missing, including adequate representation of women and men?

Please note that the assessment will be based exclusively on these and no other evaluation criteria

(a number of other aspects will be assessed in the full proposal evaluation)



# Step 2 Full application

Dal 2 giugno è cambiata la procedura

#### Ideation

- The pain point / problem to be solved
- · The current solutions and their limitations
- Your value proposition
- Your targeted customers
- Your innovation
- Your Unique Selling Point

#### Development

- The Objectives of your proposal
- The current status of your innovation
- The activities to develop your innovation, and their respective budget
- Your Team

#### Go to Market

- Your Targeted market
- Your Market size
- Your Business model
- Your Go2Market strategy
- Your Financial projections
- Your Financial needs
- Your IP assets and strategy
- The impact on the market and beyond



# Step 2 Full application

# New application form

#### Structure of the Proposal

The proposal contains two parts:

- Part A of the proposal is generated by the IT system. It is based on the information entered by the participants
  through the submission system in the Funding & Tenders Portal. The participants can update the information in the
  submission system at any time before final submission.
- Part B of the proposal is the narrative part that includes three sections that each correspond to an evaluation criterion. Part
  B needs to be uploaded as a PDF document following the templates downloaded by the applicants in the submission system
  for the specific call or topic. The templates for a specific call may slightly differ from the example provided in this document.

The electronic submission system is an online wizard that guides you step-by-step through the preparation of your proposal. The submission process consists of 6 steps:

- Step 1: Logging in the Portal
- Step 2: Select the call, topic and type of action in the Portal
- Step 3: Create a draft proposal: Title, acronym, summary, main organisation and contact details
- Step 4: Manage your parties and contact details: add your partner organisations and contact details.
- Step 5: Edit and complete web forms for proposal part A and upload proposal part B
- Step 6: Submit the proposal



#### Part. B allineamento con i criteri di valutazione

#### Nuovo modulo di domanda parte B

- -Nessun cambiamento radicale rispetto al modulo di progettazione della Piattaforma EIC
- opportunità di semplificare il modulo di domanda, in linea con le raccomandazioni del Consiglio dell'EIC
- -Massimo 50 pagine + allegati (senza modifiche):
- -Allegato finanziario e bilancio Pitch Deck Analisi FTO Data Managemet Plan
- -CV del personale chiave
- -Lettere di intenti



#### Part. B allineamento con i criteri di valutazione

	Part B – Table of content	Main evaluation criteria addressed					
EXEC	EXECUTIVE SUMMARY						
PART 1 – BUSINESS CASE							
1	COMPANY DESCRIPTION						
2	THE PROBLEM/MARKET OPPORTUNITY	EXCELLENCE					
3	THE INNOVATION: SOLUTION/ PRODUCT OR SERVICES (USP)	ENGLELIVEL					
4	MARKET ANALYSIS AND COMPETITION ANALYSIS						
5	MARKETING AND SALES PLAN	IMPACT					
6	TEAM AND MANAGEMENT						
7	RISKS	LEVEL OF RISK, IMPLEMENTATION AND NEED FOR UNION SUPPORT					
8	FINANCIAL PLAN	TILLS FOR STREET SOLF SKI					
PART 2 – EIC SPECIFIC INFORMATION							
9	IMPLEMENTATION PLAN						
10	HOW EU SUPPORT TAKES THE COMPANY TO THE NEXT VALUE POINT	LEVEL OF RISK, IMPLEMENTATION AND NEED FOR UNION SUPPORT					
11	THE FUNDING REQUEST						
12	BROAD IMPACT	IMPACT					



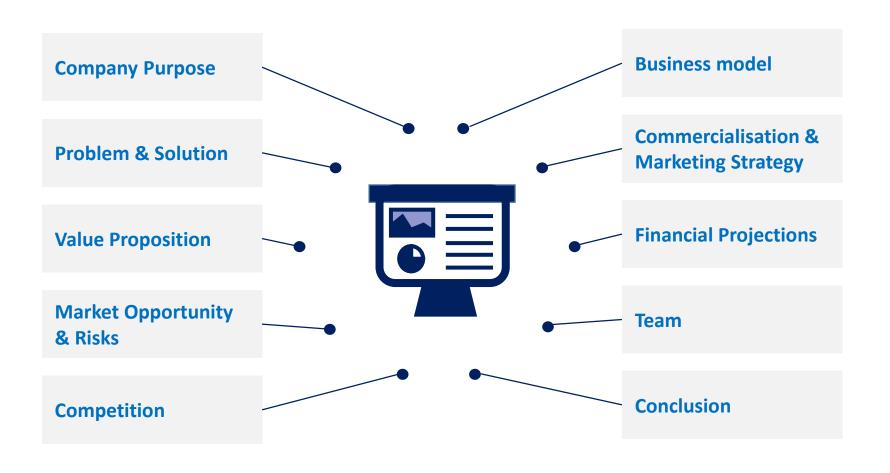
# **Allegato Finanziario Bilancio**

BALANCE SHEET	Year N-2	Year N-1	Year N	Year N+1	Year N+2	Year N+3	Year N+4	Year N+5
Total assets			0,00	0,00	0,00	0,00	0,00	0,00
of which								
Cash and cash equivalents								
Inventory								
Accounts receivable								
Property and Equipment								
Intangible assets								
Other assets								
Outstanding liabilities			0,00	0,00	0,00	0,00	0,00	0,00
of which								
Accounts payable								
Short-term debt								
Long-term debt								
Other liabilities								
Equity			0,00	0,00	0,00	0,00	0,00	0,00
of which								
Share capital								
Retained Earnings								
Other equity								
Business metrics and ratios (please do not change for	Year N-2	Year N-1	Year N	Year N+1	Year N+2	Year N+3	Year N+4	Year N+5
Cash and cash equivalents			0,00	0,00	0.00	0,00	0,00	0,00
Total Debt			0,00	0,00	0,00	0,00	0,00	0,00
Total Equity			0,00	0,00	0,00	0,00	0,00	0,00
Gross Margin, %			#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
EBITDA Margin, %			#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
Debt-to-Equity ratio			#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
Return on Equity, %			#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
Return on Capital Employed, %			#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
Break-even (operating cashflows)								
Cross-check: is the Balance Sheet balanced?	0,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00





# Pitch (10 slide) con queste si farà l'intervista se ammessi







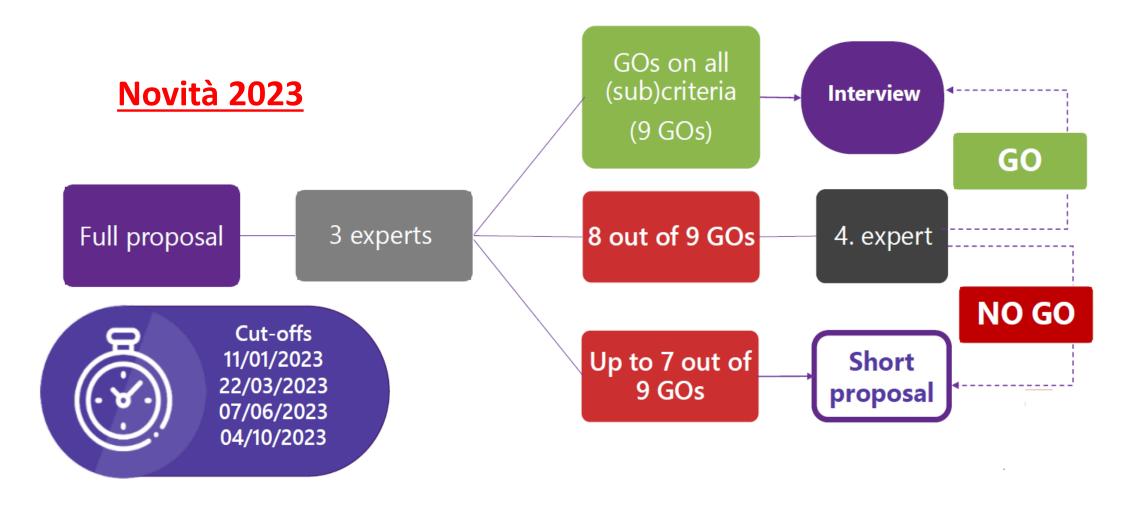
# **EIC Accelerator Valutazione Full Proposal (Prima Sottomissione)**







# **EIC Accelerator Valutazione Full Proposal (Seconda Sottomissione)**





# **EIC Accelerator Valutazione Full Proposal**

Breakthrough and market creating nature: Does the innovation have a high degree of novelty – compared to existing products, services and business models – with the potential to create or significantly transform markets?

**Additional consideration for EIC Accelerator Challenges ONLY:** How relevant are the proposal objectives in contributing to the specific objectives of the Challenge?

Technlogical feasibility: Is the innovation based on a technology or technologies that have been adequately assessed at least in a laboratory environment and relevant environments to characterise the potential and assess the level of risk (at least TRL 5/6)? Is the technology developed in a safe, secure and reliable manner?

#### Excellence

Intellectual Property: Does your company have the necessary Intellectual Property Rights to ensure freedom to operate and adequate protection of the idea?

Timing: Is the timing right for this innovation in terms of market, user, societal or scientific of technological trends and developments?



# **EIC Accelerator Valutazione Full Proposal**

Council

\*\*\*

1

**Scale up potential:** Does the innovation have scale up potential, including the potential to develop new markets and impact on the growth of the company? Are the associated financial needs well assessed and realistic?

Broader impact: Will the innovation, if successfully commercialised achieve positive broader societal, economic, environmental or climate impacts?

Additional Consideration for EIC Accelerator Challenges ONLY: Does the

Additional Consideration for **EIC Accelerator Challenges ONLY:** Does the proposed application have the potential to contribute to the specific objectives and achieve the expected outcomes and impacts set out in the Challenge?

Market fit & competitor analysis:

Has the potential market for the innovation been mers and relevant types of users, including women and men, definition of unique selling points and key differentiation from competitors? adequately assessed, including conditions and growth rates?

Zhas a competitive analysis been thoroughly performed, including identification of potential customers.

# **Impact**

4

Commercialisation strategy.
Is there a convincing and well thought-through strategy for commercialisation, including regulatory approvals/compliance needed, time to market/deployment, and

business and revenue model?

#### Key partners:

Œ

Have the key partners required to develop and commercialize the innovation been identified and engaged, including their roles/competences and a sufficient level of commitment and incentivisation?



# **EIC Accelerator Valutazione Full Proposal**

1

#### Team:

Does the team have the capability and motivation to implement the innovation proposal and bring it to the market? Is there a plan to acquire any critical competencies which are currently missing, including adequate representation of women and men?

# Level of risk, implementation & need for Union support

2

#### **Milestones:**

Is there a clear implementation plan with defined milestones, work packages and deliverables, together with realistic resources and timings?

3

# Risk level of the investment:

Does the nature and level of risk of the investment in your innovation mean that European market actors are unwilling to commit the full amount alone? Is there evidence that market actors would be willing to invest, either alongside the EIC or at a later stage?

4

#### **Risk mitigation:**

Have the main risks (e.g. technological, market, financial, regulatory) been identified, together with measures to take to mitigate them?



# Commenti Criteri di valutazione Full proposal



The timing of the project is considered very good since there is a high medical need and current therapies are not proving sufficient options in terms of safety or efficacy.

The company shows a strong protection of the technology, with 4 patents granted

The target market is growing extremely fast, with an expected CAGR of 40% in 8 years

The business model is coherent and clear with the type of work foreseen in the proposal

The team possesses the right combination of scientific and business members

The work packages and tasks described are well outlined and in line with the objectives of the project. The deliverables and milestones are well outlined and show critical elevating steps to be achieved for the development of the innovation

Risks are identified and countermeasures described thoroughly.

The mitigation measures are described and indicate a good knowledge of handling different issues.



#### **EIC Accelerator Cut – off 2023**

# **Short proposal evaluation**

**Submit** any time during the year Feedback within 4 weeks

# Full proposal -cut off

date when a round of evaluations of **full proposals** start

# **Full proposal evaluation**

Cut-off Interview weeks (tentative)

\*11 January 2023 February 27 – March 10

22 March 2023 May 22 – June 2

7 June 2023 September 11 – 22

4 October 2023 November 27 – December 8

\*Only Open



# <u>Interviste – dove, quando, chi, come?</u>



- Tutte le ultime interviste on-line e si terranno nell'arco di una settimana
- Le aziende devono dare la loro disponibilità al momento della submission



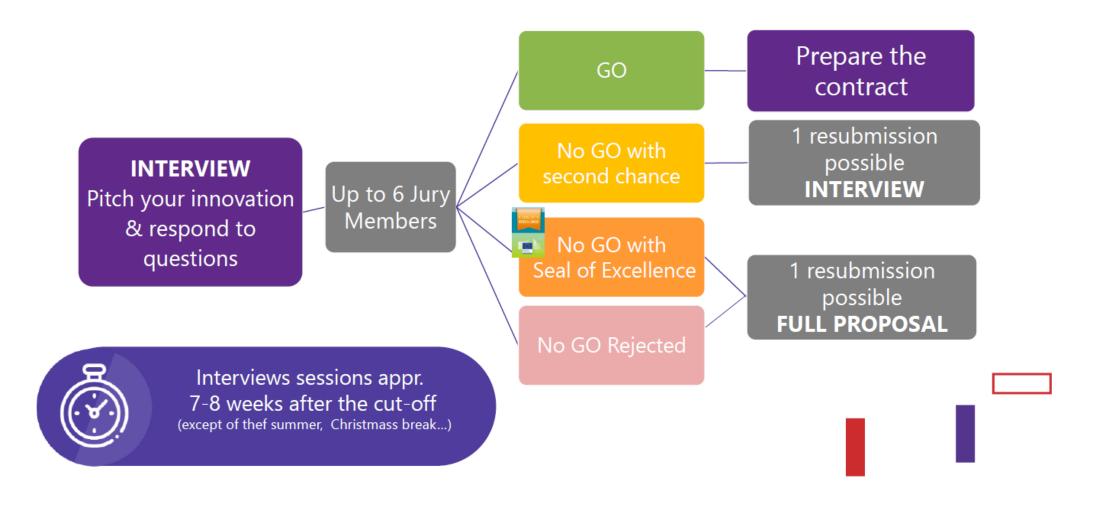
- Max 3 rappresentanti per azienda/proposta
- Vietata la presenza di parti terze (no consulenti)
- Raccomandata la presenza di figure aziendali chiave



- Le aziende devono preparare un pitch e inviarlo al momento dell'application
- Non c'è un modello di pitch fornito dalla Commissione
- 75' ad azienda (40' on-line)



#### **EIC Accelerator Valutazione Interviste**





#### Accelerator va bene per il mio progetto? Alcune indicazioni

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	L	L

Excellence/Challenges	Il nuovo prodotto/servizio è relativo ad uno degli ambiti previsti dalle challenges?:	Note Se rientra nelle challenges è importante
<u>Competition</u>	Ci sono soluzioni alternative sul mercato?	Non ci sono soluzioni con prestazioni paragonabili
Attività di Ricerca/Deeptech	Da quanti anni il nuovo prodotto è in sviluppo	Almeno due anni, l'azienda nasce da un progetto di R&S
Proprietà intellettuale	E' coperto da brevetti?	Brevetto approvato
<u>Impact</u>		
	Il nuovo prodotto ha raccolto interesse	Ha già venduto una prima versione su
Market traction	da potenziali clienti?	scala limitata
Scalabilità del mercato	Quanto vale il mercato di riferimento?	oltre 5 miliardi
Investimento da capitale privato	L'azienda ha raccolto da investitori privati in passato?	Si tra 1 è 10 milioni
<u>Implementation</u>		
Crescita finanziaria	L'azienda ha stimato le proiezioni di vendita per i prossimi 3 o 5 anni?	Si è a 5 anni è maggiore di 10 milioni
Esperienza di vendita	Il team ha esperienza di vendita	Almeno un membro ha esperienza di vendita nel settore
Investimento richiesto	Quanto l'azienda intende richiedere all'EIC (tot grant+equity)?	Almeno 5 milioni tra grant + equity

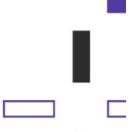








- The EIB performs due diligence and prepares the investment proposal:
  - Risk assessment, compliance and KYC
  - Product and technology
  - Market and competition
  - Commercialization strategy
  - Business plan and financial projections
  - Management
  - Board and governance
  - Ownership and capital structure
  - Optimal investment structure





# Investment scenarios\*



- Bucket 0: No Go. Substantial negative issues preventing any investment (fraud, misrepresentation, refusal or failure to submit requested information, manifest error of appreciation by the EC, substantial negative changes of circumstances)
- Bucket 1: companies non-investment ready, remaining very high risk despite the awarded EIC Accelerator support. The EIC Fund
  - will perform full due diligence; and
  - may proceed with the investment using quasi-equity (or combined with equity), or propose to revert to "grant first".
- Bucket 2: potential investors show immediate interest in co-investing alongside the EIC Fund.
  - Equity investment is at least matched by these other potential qualified investors (i.e. which will cover at least 50% of the round), having an objective of 1:3 leverage for the full EIC investment cycle.
  - The EIC Fund may rely on financial, commercial and technology due-diligence performed by the qualified lead investor and may seek alignment to their terms.
- Bucket 3: potential investors show immediate interest in providing the full investment.



# Plug-In e Fast-Track pagine di riferimento

https://eic.ec.europa.eu/eic-funding-opportunities/eic-accelerator/fast-track-and-plug-schemes-eic-accelerator\_en

https://eic.ec.europa.eu/eic-funding-opportunities/eic-accelerator/fast-track-and-plug-schemes-eic-accelerator/list-programmes-certified-plug-scheme\_en

https://eic.ec.europa.eu/eic-frequently-asked-questions\_en



Cut - Off marzo 2023 proposte presentate				
Totale proposte ricevute open + challenges	551	44		
Proposte open	468			
Proposte totali - 7 challenges	83			
<ul> <li>Novel technologies for resilient agriculture</li> </ul>	23			
Emerging semiconductor or quantum technology components	17			
Energy storage	12			
Customer-driven, innovative space technologies and services	12			
<ul> <li>Novel biomarker-based assays to guide personalized cancer treatment</li> </ul>	8			
<ul> <li>New European Bauhaus and Architecture, Engineering and Construction digitalisation for decarbonisation</li> </ul>	6			
<ul> <li>Aerosol and surface decontamination for pandemic management</li> </ul>	5			



Cut - Off marzo 2023 proposte presentate	ITALIA	
Totale proposte ricevute open + challenges	551	44
Proposte open	468	
Proposte totali - 7 challenges	83*	

\*Il numero contenuto di proposte presentate a livello europeo nella prima scadenza 2023 sulle 7 challenges può rappresentare una opportunità interessante per aziende che sviluppano tecnologie in linea con gli obiettivi della challenge.

Si raccomanda di leggere con attenzione gli obiettivi delle singole challenges descritti del Work Programme 2023 ed i criteri di valutazione.

#### Link:

https://eic.ec.europa.eu/eic-funding-opportunities/eic-accelerator-0\_en





#### News! Cut-off 21 Giugno

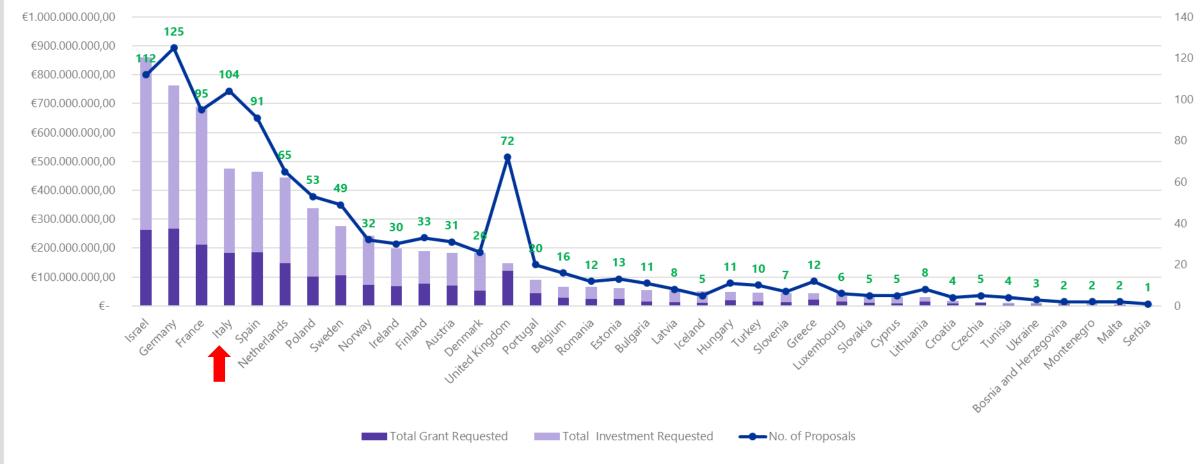
The EIC Accelerator cut-off closed on **21 June 2023** with **648** full proposals submitted. This marks an increase compared to the previous cut-offs in **January and March 2023** (respectively **476** and **551** full proposals submitted). This also follows the switch in the application platform that took place on 2 June 2023. Applicants come from 34 countries, including 15 widening countries, and around **one fifth (107)** applied to the set of strategy challenges identified for 2024.





#### EIC Accelerator: October 2022- Submitted proposals – Country and budget requested

#### **Total Requested Amounts**





Cumulativo 2021 & 2022	2022
France 62	Germany 36
<b>Germany 59</b>	France 34
Netherlands 40	Netherlands 22
Spain 30	UK 18
Israel 24	Spain 16
UK 23	Sweden 13
Finland 21	Finland 12
Sweden 20	Belgium 10
Ireland 16	Ireland 9
Denmark 14	Italy 8
Belgium 14	Austria 8
Italy 13	Israel 8
Norway 10	Denmark 6
Austria 10	Portugal 5
Portugal 7	Norway 5

**EIC Accelerator imprese finanziate** 



UK, Germany, France and Sweden are the largest Deep Tech ecosystems in Europe. Switzerland, Sweden, Finland and Norway are the most Deep Tech focused ecosystems.



	Deep Tech VC investment (2016-2022)	Deep Tech as % of V investment (2016-2	C 022) Important Deep Tech clusters
UK	\$28.7B	21%	Oxford-Culham-Harwell-Abingdon, Cambridge, Bristol, Dundee, Warwick, Southampton, Edinburgh, London*
Germany	\$12.8B	21%	Berlin, TU Munich, Fraunhofer, KIT, RWTH Aachen University, Uni Mainz, Darmstadt University of Technology, Ulm, German Aerospace Center
France	\$9.8B	18%	Paris, CEA (Atomic Energy Commission), Vision Institute, LNE-SYRTE, LP2N, Grenoble Institute of Technology, Inria, Sorbonne (incl. ISIR)
Sweden	\$8.5B	33%	Lund, KTH Royal Institute of Technology, Luleå University of Technology, Uppsala, Karolinska Institute, Chalmers
Switzerland	\$6.7B	35%	ETH Zurich, Swiss Federal Institute of Technology Lausanne, EPFL, IDSIA, UZH
Netherlands	\$3.2B	18%	Eindhoven/Brainport-ASML-NXP-Philips, Delft, Wageningen, TNO, University of Amsterdam, Twente
Finland	\$2.4B	32%	VTT, Aalto, Oulu, University of Helsinki
Spain	\$2.2B	15%	Starlab, Polytechnic University of Catalonia (incl. ICFO)
Norway	\$1.7B	27%	NTNU
Ireland	\$1.1B	11%	Limerick, Dublin
Belgium	\$1.0B	16%	Ghent, Leuven-Imec, Hasselt, Antwerp, UCLouvain, Vrije Universiteit Brussel
Denmark	\$1.0B	14%	Odense, University of Copenhagen, SDU
Italy	\$744M	13%	Politecnico Milano, Politecnico Torino, University of Milan, IIT
Austria	\$517M	13%	Tu Wien, University of Applied Sciences Technikum Wien
Poland	\$489M	24%	Warsaw University of Technology, Wroclaw University of Science and Technology, Polish Center for Technology Development
Portugal	\$338M	23%	University of Porto, University of Lisbon, Universidade NOVA de Lisboa

LAKE STAR



dealroom.co



## **EUROPEAN INNOVATION COUNCIL:**

**EIE and EIT** 

Napoli 21 luglio 2023

\*La presentazione è basata su materiale della Commissione Europea

EIE – European Innovation Ecosystem



#### Policy context of the EIE Work Programme



# **Horizon Europe**



Horizon Europe is implemented through work programmes which set out funding opportunities.

#### European Innovation Council

 Support to innovations with breakthrough and market creating potential

# European innovation ecosystems

 Connecting with regional and national innovation actors

# European Institute of Innovation and Technology (EIT)

Bringing key actors (research, education and business) together around a common goal for nurturing innovation

#### **HORIZON EUROPE**

#### **SPECIFIC** SPECIFIC PROGRAMME IMPLEMENTING HORIZON EUROPE & EIT\* PROGRAMME: Exclusive focus on civil applications **EUROPEAN** Pillar II Pillar III **DEFENCE EXCELLENT SCIENCE INNOVATIVE EUROPE GLOBAL CHALLENGES & FUND EUROPEAN INDUSTRIAL** Exclusive focus on **COMPETITIVENESS** defence research **European Research Council European Innovation** & development Culture, Creativity & Council **Inclusive Society** Marie Skłodowska-Curie Civil Security for Society **European innovation** · Digital, Industry & Space ecosystems Research Infrastructures Research · Climate, Energy & Mobility actions · Food, Bioeconomy, Natural **European Institute of** Resources, Agriculture & Innovation & Technology\* Environment Joint Research Centre Development actions WIDENING PARTICIPATION AND STRENGTHENING THE EUROPEAN RESEARCH AREA Widening participation & spreading excellence Reforming & Enhancing the European R&I system

# Fusion

#### Pillar 3 – Innovative Europe:

stimulating market-creating breakthroughs and ecosystems conducive to innovation

\* The European Institute of Innovation & Technology (EIT) is not part of the Specific Programme



Joint

Research

Center

#### Policy context of the EIE Work Programme



Le destinazioni e i bandi del programma di lavoro European Innovation Ecosystems

Il programma di lavoro 2023-2024 prevede azioni nell'ambito di due destinazioni: CONNECT e INNOVSMES.

Le azioni della destination CONNECT si concentrano sulla costruzione di ecosistemi di innovazione interconnessi e inclusivi in tutta Europa, sfruttando i punti di forza degli ecosistemi esistenti a livello nazionale, regionale e locale e incoraggiando il coinvolgimento di tutti gli attori e i territori per definire, intraprendere e realizzare azioni collettive ambiziose e che affrontano sfide a beneficio della società, comprese le transizioni verdi, digitali e sociali.

L'azione nella destination INNOVSMES sosterrà il partenariato europeo per le PMI innovative, che aiuterà le PMI innovative ad aumentare la loro capacità e produttività in materia di ricerca e innovazione e ad inserirsi con successo nelle catene globali del valore e nei nuovi mercati.

#### EIE WP 23-24: Overview





#### Connected & efficient innovation ecosystems

- Support the scaling of companies
- Encourage innovation
- Stimulate cooperation among national, regional & local innovation actors



#### **Expected impacts**

- ✓ Interconnected, inclusive & efficient innovation ecosystems across Europe
- ✓ Elevated scalability potential of business
- ✓ Innovative SMEs growth accessing markets & embedding in global value chains



Mostly CSAs and Co-fund actions, biannual WPs



EUR 527 million (2021-2027)



All ecosystem actors

wp-10-european-innovation-ecosystems\_horizon-2023-2024\_en.pdf (europa.eu)





## Work Programme 2023-24

# Policy Context

• Communication about new European Innovation Agenda



Brussels, 5.7.2022 COM(2022) 332 final

#### COMMUNICATION FROM THE COMMISSION TO THE EUROPEAN PARLIAMENT, THE COUNCIL, THE EUROPEAN ECONOMIC AND SOCIAL COMMITTEE AND THE COMMITTEE OF THE REGIONS

A New European Innovation Agenda

(SWD(2022) 187 final)

EN EN





Funding for deep tech scale-ups

Improving policy making tools

Fostering, attracting and retaining deep tech talent

- Organisation of the Women TechEU Scheme

Enabling deep tech innovation through experimentation spaces and public procurement

-Stimulating Experimentation Practices

-Specialist Advisory Services to build capacities on innovation procurement

Accelerating and strengthening innovation in European Innovation Ecosystems across the EU and addressing the innovation divide

-Preparatory action for setting up joint programmes among innovation ecosystems actors





In linea con la <u>nuova agenda europea per l'innovazione</u>, le <u>Regional Innovation Valleys</u> mirano a creare valli regionali dell'innovazione collegate in tutta l'Unione europea, coinvolgendo le regioni con prestazioni di innovazione inferiori, basandosi su aree strategiche di forza e specializzazione regionale ( definiti nelle loro strategie di specializzazione intelligente), a sostegno delle principali priorità dell'UE.

la Commissione europea ha stanziato **122 milioni di euro** nell'ambito di Horizon Europe (Ecosistemi europei dell'innovazione) e del Fondo europeo di sviluppo regionale (strumento per gli investimenti interregionali nell'innovazione (I3)).

I bandi sono aperti dal 17 maggio al 17 ottobre 2023. Si tratta di un bando di una certa complessità per un appronfondimento fare riferimento al Programma di Lavoro ed alle informazioni pubblicate dalla Commssione:

#### Link:

• https://research-and-innovation.ec.europa.eu/strategy/support-policy-making/shaping-eu-research-and-innovation-policy/new-european-innovation-agenda/new-european-innovation-agenda-roadmap/flagship-3-accelerating-and-strengthening-innovation-european-innovation-ecosystems-across-eu-and\_en





Implementing co-funded action plans for connected regional innovation valleys
HORIZON-EIE-2023-CONNECT-03-01



# Who can apply? (1)

#### **Eligibility conditions**

Participation, as beneficiaries of:

- At least five (5) national or regional authorities from at least five (5) different Member States
  or Associated Countries, of which,
  - ✓ at least two (2) are representing a 'moderate' or 'emerging' innovator region and
  - ✓ at least one (1) is representing a 'strong' or 'innovation leader' innovator region





Implementing co-funded action plans for connected regional innovation valleys
HORIZON-EIE-2023-CONNECT-03-01



# Who can apply? (2)

#### Target group (indicative)

- National and/or regional innovation authorities (min eligibility requirement)
   [shape innovation policies/programmes and able to provide 50% co-funding]
- Other relevant entities (research institutions, universities, companies, clusters, innovation intermediaries, etc.)
  - ✓ as beneficiaries (able to provide 50% co-funding) for HE eligible countries





Implementing co-funded action plans for connected regional innovation valleys
HORIZON-EIE-2023-CONNECT-03-01





Strong innovation ecosystems across the EU, by accelerating the development and deployment of innovation, including deep tech innovation, to address the most burning challenges facing the EU

This action is intended to create connected REGIONAL INNOVATION VALLEYS across EU, involving regions with lower innovation performances and building on strategic areas of regional strength and specialization (in support of key EU priorities).

Successful applicant regions get the label.





CONNECT



Call: Stimulating Experimentation Practices (2023-02-01)

Open: 08/06/2023 Close: 21/09/2023

Target group(s): Public innovation authorities + EIT KICs + private actors (innovators, SMEs, Start-ups) + NGOs.

#### Main expected outcome:

Efficient testing of disruptive innovations and improve innovation framework conditions, in line with the <u>New European Innovation Agenda</u>;

#### Applicants should:

- identify an area where Innovative solutions emerge
  - Innovation areas which are <u>hampered by existing regulation</u>
  - Or Innovation areas which are <u>unregulated</u>
- Areas in which there is a need for testing before deployment to market (including smart specialisation)
- Propose an <u>action plan</u> for setting up an <u>experimentation space</u>
- · Implement the experimentation space
- Identify potential legal, regulatory, fiscal, technical and operational pre-requisites or barriers for helping policy makers to foster further innovation



Type of Action	CSA
Total Indicative budget	4 M€
Expected EU contribution / project	1 M€
Expected grants to be signed	4
Estimated project duration	Not specified
Additional eligibility criterion	<ul> <li>at least three (3) legal entities, of which:</li> <li>at least one (1) in a 'moderate' or 'emerging' innovator region and</li> <li>at least one (1) in a 'strong' or 'innovation leader' innovator region.</li> </ul>
Lump sum grant	Eligible costs will take the form of a lump sum.



CONNECT



Call: Stimulating Experimentation Practices (2023-02-01)

Open: 08/06/2023 Close: 21/09/2023

#### **Activities**

- <u>Identification of areas</u> where experimentation could facilitate the deployment of disruptive technologies by establishing a regulatory Sandbox, living lab or test bed.
- Awareness raising and enhanced knowledge on the existing experimentation frameworks for testing innovation
- Identify and scale up <u>best practice examples</u> of regulatory sandboxes, living labs and test beds
- Connect innovators with organisations that practice experimentation
- Creation or use of networking and coordination structures and tools (EIT KICs listed as example)
- Establishment of an experimentation space or cooperation with existing services
- Address the question of <u>intellectual property</u> right related to disruptive technologies





CONNECT



Call: Specialist advisory services to build capacities on Innovation procurement (2023.1)

Open: 08/06/2023 Close: 21/09/2023

Target group(s): Public and private procurers + state owned enterprises + private actors (SMEs, Start-ups) + R&I actors/networks + EIT KICs

#### Main expected outcome:

Enhance the use of innovation procurement (on both the procurers and companies side) Develop the role of specialist advisory service providers

Expected from specialist advisory service providers:

- Specialised knowledge on one or more market sector(s) and a good awareness of the national, regional, or local innovative start-ups and SMEs which are active in their sector(s) of specialisation;
- Strong <u>awareness of market opportunities</u> that exist in their sectors of expertise
- Good understanding of both public <u>procurers needs</u> and <u>possible solutions</u> from suppliers
- Good <u>technical</u> background on their sectors
- Thorough <u>knowledge</u> of relevant procurement procedures
- Strong knowledge of and good connections to innovative SMEs and the start-up environment, including the European Innovation Council (EIC) and the EIT, as well as other EU and national networks (Enterprise Europe Network, Startup Europe, etc.).

Type of Action	CSA
Total Indicative budget	4 M€
Expected EU contribution / project	1 M€
Expected grants to be signed	4
Estimated project duration	Not specified
Additional eligibility criterion	<ul> <li>at least three (3) legal entities, of which:</li> <li>at least one (1) in a 'moderate' or 'emerging' innovator region and</li> <li>at least one (1) in a 'strong' or 'innovation leader' innovator region.</li> </ul>
Lump sum grant	Eligible costs will take the form of a lump sum.





EIT - European Institute of Innovation & Technology



#### L'Istituto europeo di innovazione e tecnologia (EIT)



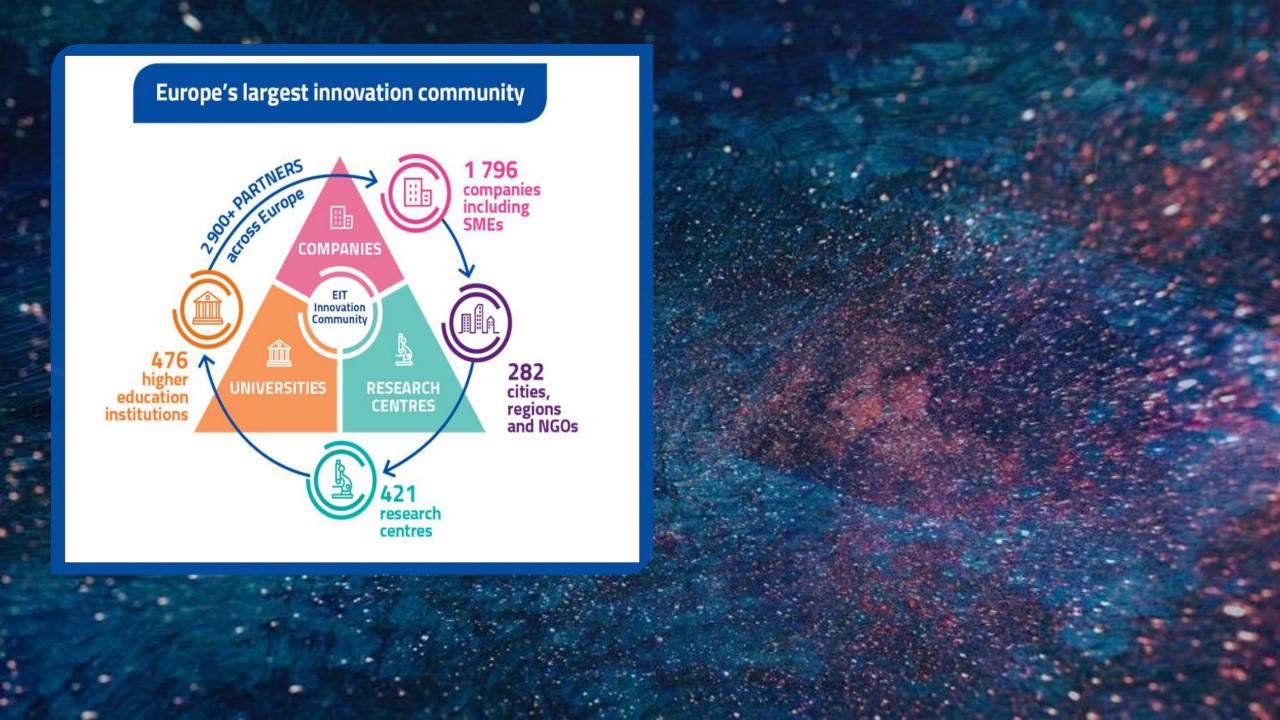
L'Istituto europeo di innovazione e tecnologia (EIT) è stato istituito nel 2008 come organo indipendente dell'Ue per accrescere la capacità dell'Europa di innovare alimentando il talento imprenditoriale e sostenendo nuove idee.

Gran parte della dotazione finanziaria dell'EIT è dedicata a sostenere le *Comunità della conoscenza e dell'innovazione (KIC)*, partenariati europei tra aziende, enti di ricerca, università ed imprese, che portano avanti un'agenda strategica in specifici settori scientifici e tecnologici, mediante attività integrate di alta formazione, ricerca e innovazione.

Le KIC offrono una vasta gamma di servizi e attività di innovazione e imprenditorialità: corsi di formazione che mettono insieme capacità tecniche e imprenditoriali, servizi su misura per la creazione e l'accelerazione delle imprese e progetti di ricerca orientati all'innovazione.

Le otto KIC attive al momento lavorano per accelerare la transizione verso un'economia a zero emissioni di carbonio (EIT Climate-KIC), promuovere la trasformazione digitale dell'Europa (EIT Digital), guidare la rivoluzione globale nel settore della innovazione e produzione alimentare (EIT Food), offrire ai cittadini dell'UE maggiori opportunità per una vita più sana (EIT Health), creare un futuro energetico sostenibile in Europa (EIT InnoEnergy), rafforzare la competitività dell'industria manifatturiera europea (EIT Manufacturing), rendere le materie prime uno dei principali punti di forza per l'Europa (EIT Raw Materials), e risolvere le sfide legate alla mobilità nelle nostre città (EIT Urban Mobility)







**EIT Climate-KIC** 

Accelerate the transition to a zero-carbon economy



**EIT Digital** 

Drive Europe's digital tranformation



**EIT InnoEnergy** 

Achieve a sustainable energy future for Europe



IT Health

Give EU citizens greater opportunities for a healthy life



**EIT RawMaterials** 

Develop raw materials into a major strength for Europe

2026



**EIT Water**Contribute to a circular and sustainable water economy



EIT Culture & Creativity
Unite Europe's creative



**EIT Urban Mobility** 

Smart, green and integrated transport



**EIT Manufacturing** 

Strengthen and increase the competitiveness of Europe's manufacturing industry





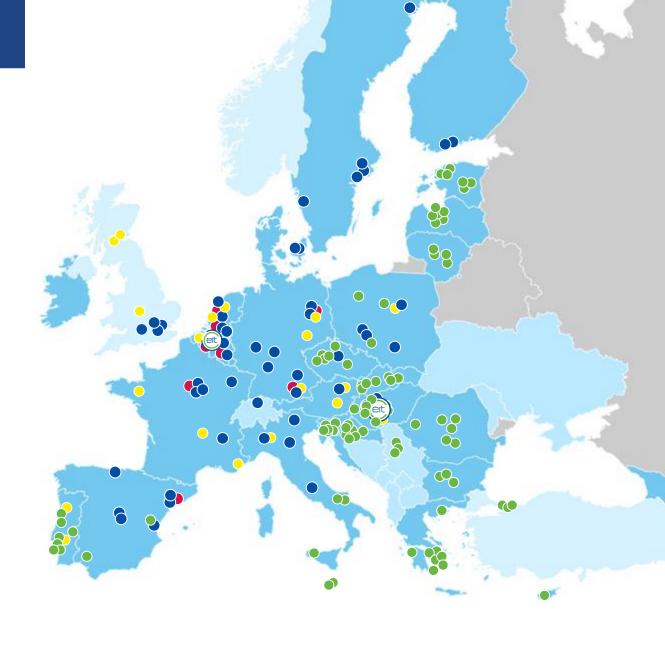
Lead a global revolution in food innovation and production





# EIT COMMUNITY ACROSS EUROPE

- Headquarters
- Innovation Hubs (Co-location Centers)
- Offices
- EIT Hubs under EIT Regional InnovationScheme





### OPPORTUNITIES FOR ALL INNOVATORS!



#### **BUSINESS**

Access exceptional talent, innovation know-how and new market opportunities



#### **ENTREPRENEURS**

Start a new venture, improve your competitiveness, and find commercial success



#### **PUBLIC AUTHORITIES**

Leverage Europe's investment in innovation and shape Europe's future towards more sustainable, inclusive and smart growth



#### UNIVERSITIES

Be part of the movement that enables innovation and creates a more favourable environment for cross-sectorial and cross-border cooperation



#### **STUDENTS**

Unleash your innovation potential by combining technical studies with entrepreneurial skills in unique EIT programmes



#### RESEARCHERS

Get your ideas off the ground, bring great ideas to life, and take your innovation to the market

## Where do I start from?

1) Visit www.eit.europa.eu and check:

ETT Innovation Communities

EIT Innovation Communities ~

Join as a partner

Opportunities & Funding Which KIC theme interests you?

What value can you bring/ get as a partner?

Which activity fits to your needs?

2) Contact your National Contact Point

 https://ec.europa.eu/info/fundingtenders/opportunities/portal/screen/support/n cp







# EIC team APRE

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